BROADWAY FINANCIAL CORP \DE\ Form 8-K December 29, 2011

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D. C. 20549

# FORM 8-K

## **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the

Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): December 28, 2011

# **BROADWAY FINANCIAL CORPORATION**

(Exact name of registrant as specified in its charter)

**Delaware** (State of Incorporation)

000-27464 (Commission 95-4547287 (IRS Employer

File Number)

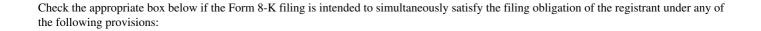
Identification No.)

4800 Wilshire Boulevard,

Los Angeles, California 90010 (Address of Principal Executive Offices) (Zip Code) Registrant s telephone number, including area code: (323) 634-1700

#### NOT APPLICABLE

(Former name or former address, if changed since last report)



- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

#### Item 8.01 Other Events.

On December 28, 2011, the Company issued a press release announcing the receipt of a letter from Nasdaq confirming that the Company has regained compliance with Nasdaq Listing Rule 5250(c)(1). A copy of the press release is attached hereto as Exhibit 99.1 and is incorporated by reference herein.

## Item 9.01 Financial Statements and Exhibits.

99.1 Press release dated December 28, 2011

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

## **BROADWAY FINANCIAL CORPORATION**

Date: December 29, 2011 By /s/ Samuel Sarpong Samuel Sarpong

Chief Financial Officer

## EXHIBIT INDEX

99.1 Press release dated December 28, 2011