Edgar Filing: BROOKS DAVID H - Form 4

| Form 4 | DAVID H | | | | | | | | | | | | | |
|---|--|--------|--|---|--|---|--|--|--|----------------------------|---|--|---------------------------|-------|
| January 26, FORN Check t if no los subject Section Form 4 Form 5 obligati may co <i>See</i> Inst 1(b). | M 4 UNITED this box nger to 16. or Filed pu Section 17 | MENT O | Wa F CHA Section Public U | ashing NGES SE(16(a) Utility | g tor S IN CUI of ti Ho | n, D.C. 2 BENE RITIES he Secur | FICI FICI | AL O Exchany Ac | E COMMISSI WNERSHIP ange Act of 193 t of 1935 or Se 1940 | OF 34, | OMB Numb Expire Estima burder respor | es: ated av n hours | 3235 Janua erage | -0287 |
| (Print or Type | e Responses) | | | | | | | | | | | | | |
| 1. Name and BROOKS | 2. Issuer Name and Ticker or Trading Symbol S Y BANCORP INC [SYBT] | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | | | | | |
| (Last) (First) (Middle) 3. | | | | 3. Date of Earliest Transaction | | | | | (Check all applicable) | | | | | |
| 4010 FOX MEADOW WAY | | | (Month/Day/Year) 12/30/2011 | | | | | X_ Director 10% Owner Officer (give title Other (specify below) below) | | | | | | |
| | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | | | | |
| (City) | (State) | (Zip) | TT - I | L1. T . X | .T | | C. | .,. | Person | | D | C 11 | 0 | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | | ed Date, if | 3. Transa Code (Instr. | actio 8) | 4. Securi nAcquirec Disposed (Instr. 3, | ties l (A) of l of (E 4 and (A) or | or)) 5) | Acquired, Dispos 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Own Form Direc | ership 1: 2t (D) direct | 7. Natu Indirec Owner (Instr. | tre of t Benet ship | |
| Common Stock | 12/30/2011 | | | G | | Amount 1,000 | (D) D | Price (<u>1)</u> | 58,797 | D | | | | |
| Common Stock | | | | | | | | | 1,366.687 | I | | Trust Defer Plan | | |
| Common Stock | | | | | | | | | 54,983.3188 | Ι | | By S _I | oouse | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | e | 7. Title and A Underlying S (Instr. 3 and | Securities | 8. Prie Deriv Secur (Instr. |
|---|---|---|---|--|---|---------------------|--------------------|---|--|--------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Option (Right to Buy) | \$ 20.1714 | | | | | 06/16/2004 | 12/16/2013 | Common Stock | 6,000 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|---|---------------|-----------|---------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| BROOKS DAVID H 4010 FOX MEADOW WAY PROSPECT, KY 40059 | Х | | | | | | | |
| A 1 . | | | | | | | | |

Signatures

| //David H. Brooks | 01/26/2012 | | | | |
|------------------------------------|------------|--|--|--|--|
| **Signature of Reporting Person | Date | | | | |

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Gift of shares to charitable organization

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.