Edgar Filing: EDINGER CHARLES R III - Form 4

EDINGER CHARLES R III

Form 4 May 01, 2003

FORM 4

_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. . .0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo and Dye's Section 16 Filer www.section16.net

1. Name and Ade			ne and Tick p, Inc. SYI		P	6. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) 1012 Story Ave.	of Reporting Person,					atement for th/Day/Year /2003	X Director 10% Owner Officer (give title below) Other (specify below)			
Louisville, KY 4						of Original ((nth/Day/Year) X P	. Individual or Joint/Group Filing Check Applicable Line) Gerson Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	Т	able	I Non-D	erivati	rities Acquired, Dispos	sposed of, or Beneficially Owned				
1. Title of Security (Instr. 3)	2. Trans- action Date (Month/ Day/ Year)	ction Execution Date Date, Month/ Day/ if any			4. Securities Acquire (A) or Disposed of (Instr. 3, 4 & 5) Amount (A) P		of (D)	5. Amount of Securities Beneficially Owned Follow- ing Reported	6. Owner- ship Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
		Year)				(D)		Transactions(s) (Instr. 3 & 4)	(msu. 1)	(mout. 1)
Common Stock	5/01/2003		P		96.0303	A	36.00	24,789.537	l D	
Common Stock	5/01/2003		P		87.0131	A	36.00	22,461.800	5 I	Wife
Common Stock	5/01/2003		P		23.1422	A	36.00	5,973.997	7 I	Partner (1)(2)
Common Stock								281.50	5 I	Trust ⁽²⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially **Owned**

(e.g., puts, calls, warrants, options, convertible securities)

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Edgar Filing: EDINGER CHARLES R III - Form 4

1. Title of	2. Conver-	3.	3A.	4.	5.		6. Date Exerc	isable	7. Title an	ıd	8. Price of	9. Number of	10.	11. Nature
Derivative	sion or	Trans-	Deemed	Trans-	Num	ber	and Expiratio	n	Amount o	f	Derivative	Derivative	Owner-	of Indirect
Security	Exercise	action	Execution	action	of		Date		Underlyin	g	Security	Securities	ship	Beneficial
	Price of	Date	Date,	Code	Deriv	ati	(Mealonth/Day/		Securities		(Instr. 5)	Beneficially	Form	Ownership
(Instr. 3)	Derivative		if any		Secui	riti	X ear)		(Instr. 3 &	(4)		Owned	of Deriv-	(Instr. 4)
	Security	(Month/	(Month/	(Instr.	Acqu	ire	d					Following	ative	
		Day/	Day/	8)	(A) o	r						Reported	Security:	
		Year)	Year)		Dispo	ose	d					Transaction(s)	Direct	
					of (D)						(Instr. 4)	(D)	
												` ′	or	
					(Instr								Indirect	
					3, 4 &	ķ							(I)	
					5)								(Instr. 4)	
				Code V	(A) (D)	Date	Expira-	Title	Amount	1			
						-		tion		or				
								Date		Number				
										of				
										Shares				
Option									Common	4,000		4,000	D	
(Right to									Stock	ĺ		ĺ		
Buy)														

Explanation of Responses:

(1) Charles Edinger has a beneficial interest as a 1% general partner and a 7.7512% limited partner in the stock in the name of Edinger Securities Partnership, LP

(2) Shares held in the deferred comp plan

By: /s/ //Charlles R. Edinger, III

Date

5/01/2003

**Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

^{**}Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).