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HEINTZMAN DAVID P

Form 4 May 01, 2003

Form 4 or Form 5

See Instruction 1(b).

FORM 4

longer subject to Section 16.

_ Check this box if no

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. . .0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo and Dye's Section 16 Filer www.section16.net

1. Name and Additional Heintzman Dav	•			e and Ticke , Inc. SYI	er or Ti	6. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
(Last) 3019 Poppy Wa	(First) (M	of Repor	ting I	oluntary)	ımber		ement for h/Day/Year 03	X Director 10% Owner X Officer (give title below) Other (specify below)				
			400	-72-2625				Pres	esident			
Louisville, KY 4						5. If Amendment, Date of Original (Month/Day/Year)		7. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	, ` 	Zip)		able l				rities Acquired, Dis				
1. Title of Security (Instr. 3)	2. Trans- action Date	2A. Deemed Execution Date,	3. Transaction (Instr. 8	Code	4. Securitie (A) or Disp (Instr. 3, 4	osed c		5. Amount of Securities Beneficially		ship Form:	7. Nature of Indirect Beneficial	
	(Month/ Day/ Year)	if any (Month/Day/ Year)	Code	V	Amount	(A) or (D)	Price	Owned Following Reported Transactions(s) (Instr. 3 & 4)		or Indirect (I) (Instr. 4)	Ownership (Instr. 4)	
Common Stock	5/01/2003		P		7.4131	A	36.00					
Common Stock	5/1/2003		G	(3)	375	D		21,617.	6256	I		
Common Stock								2	2,869	I	Wife	
Common Stock								4,996.	3688	I	ESOP Shares(1)	
Common Stock			+					1,443.	6360	I	401k Shares <u>(2)</u>	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

		, (5 7 1 1 1 1 1 1 1	,			· , · <u>· · · · · · · · · · · · · · · · ·</u>			,				
1. Title of	2. Conver-	3.	3A.	4.	5.		6. Date Exc	ercisable	7. Title an	d	8. Price of	9. Number of	10.	11. Natı
Derivative	sion or	Trans-	Deemed	Trans	- N	umbe	and Expira	and Expiration		Amount of		Derivative	Owner-	of Indir
Security	Exercise	action	Execution	action			Date		Underlyin	g	Security	Securities	ship	Benefic
		Date	Date,	Code			ive(Month/Day	-/	Securities	_	(Instr. 5)	Beneficially	Form	Owners
(Instr. 3)	Derivative	2 4.10	if any	0000			es Year)	,	(Instr. 3 &		(11151110)	Owned		(Instr. 4
(IIIsu. <i>3)</i>	Security	(Month/	(Month/	(Instr.		cquire			(Illsu. 5 o	· - 1)		Following	ative	(IIISII. T
	Security	(Monui/ Day/	(Monul/ Day/	(111su . 8)		equire A) or	·u					Reported	Security:	
		Year)	Year)	0)			,							
		r car)	r car)			ispose	a					` '	Direct	
					O	f (D)						(Instr. 4)	(D)	
													or	
					(1	nstr. 3	,						Indirect	
					4	& 5)							(I)	
				Code	V (A) (D) Date	Expira-	Title	Amount			(Instr. 4)	
				0000	. (2	1) (2	Exer-cisab		11010	or				
								Date		Number				
								Bute		of				
										Shares				
0	(121				\vdash	- A	0071	2/20/04				2.060	D.	
Option	6.421					5,0	00 Immed.	3/30/04	Common	5,000		2,960	D	
(Right to									Stock					
Buy)					H									
Option	7.25						Immed.	1/10/05	Common	26,200	1	26,200	D	
(Right to									Stock					
Buy)					Ш									
Option	14.50						Immed.	1/3/07	Common	4,000		4,000	D	
(Right to									Stock	ĺ		ĺ		
Buy)														
Option	20.50						Immed.	1/8/08	Common	2,000		2,000	D	
(Right to	20.50						illineu.	170700	Stock	2,000		2,000		
Buy)									Stock					
	22.0255				H		,	1/12/00	C	C 400		C 400	-	
Option	23.9375						Immed.	1/12/09	Common	6,400	1	6,400	D	
(Right to									Stock					
Buy)					Щ.									
Option	23.9375						Immed.	4/20/09	Common	2,200		2,200	D	
(Right to									Stock					
Buy)														
Option(Right	21.00						Immed	1/7/10	Common	9,900		9,900	D	
to Buy)									Stock	. ,				
-					$\vdash\vdash$	-							_	
Option	20.63						Immed.	12/21/10		13,000		13,000	D	
(Right to									Stock					
Buy)														
Option	33.60						Immed.	12/27/11	Common	10,000		10,000	D	
(Right to									Stock	ĺ		ĺ		
Buy)														
Option	39.10				H		6/17/02	12/17/12	Common	7,900		7,900	D	
(Right to	39.10						0/1//02	12/1//12	Stock	7,900		7,900	D	
									SWCK					
Buy)										l				

Explanation of Responses:

By: /s/ //David P. Heintzman

5/1/2003 Date

**Signature of Reporting Person

⁽¹⁾ Allocation of ESOP Shares

⁽²⁾ Allocation of 401k Shares

⁽³⁾ Gift to Church

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**Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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