Edgar Filing: Stock Yards Bancorp, Inc. - Form 4

Form 4 July 30, 20	МЛ	STATES	SECU	RITIES	AND E	ХСН	IANGE C	OMMISSION	OMB A	APPROVAL	
Check	this box		W	ashingto	n, D.C. 2	2054	9		Number:	3235-0287 January 31,	
if no lo		MENT O	F CHA	NGES II	N BENE	FIC	IAL OW	NERSHIP OF	Expires:	2005	
subject Sectior	n 16.		SECURITIES						Estimated burden ho	•	
Form 5 Filed pursuant to Section 16(a)					the Secu	rities	Exchange	e Act of 1934.	response.	0.5	
obligat may co	ions Section 17	(a) of the	Public I	Utility He	olding C	ompa	any Act of	1935 or Section	ı		
	struction	30(h)	of the l	Investme	nt Comp	any 4	Act of 194	0			
(Print or Type	e Responses)										
HEINTZMAN DAVID P Symbo				ssuer Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer			
			Symbol Stock	Yards Ba	ancorp. I	nc. [S	SYBTI				
(Last)	(First)	(Middle)		of Earliest	•	-	,	(Checl	k all applicab	le)	
(Month			/Day/Year))			X Director 10% Owner X Officer (give title Other (specify				
3019 POPPY WAY 07/)7/29/2014				below) below) Chairman & CEO			
				Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
			Filed(M	onth/Day/Y	ear)			Applicable Line) _X_Form filed by C			
LOUISVI	LLE, KY 40206							Form filed by M Person	lore than One F	Reporting	
(City)	(State)	(Zip)									
		(P)	Ta	ble I - Non	-Derivativ	ve Sec	curities Acq	uired, Disposed of	, or Beneficia	ally Owned	
1.Title of	2. Transaction Date	2A. Deeme	d	3.	4. Securi	ties A	cquired (A)	5. Amount of	6.	7. Nature of	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution any	ed Date, if	3. Transactio Code		ties A sed of	cquired (A)	5. Amount of Securities Beneficially	6. Ownership Form:	7. Nature of Indirect Beneficial	
Security		2A. Deeme Execution	ed Date, if	3. Transactio Code	4. Securi otor Dispo	ties A sed of	cquired (A)	5. Amount of Securities	6. Ownership	7. Nature of Indirect	
Security		2A. Deeme Execution any	ed Date, if	3. Transactio Code	4. Securi otor Dispo	ties A sed of 4 and (A)	cquired (A)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership	
Security		2A. Deeme Execution any	ed Date, if	3. Transactic Code (Instr. 8)	4. Securi otor Dispo	ties A sed of 4 and	cquired (A)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership	
Security		2A. Deeme Execution any	ed Date, if	3. Transactic Code (Instr. 8)	4. Securi otor Dispo (Instr. 3,	ties A sed of 4 and (A) or	cquired (A) (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership	
Security (Instr. 3)	(Month/Day/Year)	2A. Deeme Execution any	ed Date, if	3. Transactic Code (Instr. 8) Code V	4. Securi otor Dispo (Instr. 3, Amount	ties A sed of 4 and (A) or (D)	cquired (A) (D) 5) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
Security (Instr. 3) Common Stock Common	(Month/Day/Year) 07/29/2014	2A. Deeme Execution any	ed Date, if	3. Transactic Code (Instr. 8) Code V M	4. Securi otor Dispo (Instr. 3, Amount 4,000	ties A sed of 4 and (A) or (D) A	cquired (A) (D) 5) Price (<u>1)</u> \$	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) 102,702	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) D D	7. Nature of Indirect Beneficial Ownership	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	onof D Secu Acqu (A) o Disp (D)	urities uired or posed of r. 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amour or Numbe of Shar
Option (Right to Buy)	\$ 22.8095	07/29/2014		М		4,000	06/14/2005	12/14/2014	Common Stock	4,00
Option (Right to Buy)	\$ 24.0667						07/17/2006	01/17/2016	Common Stock	31,50
Option (Right to Buy)	\$ 26.83						08/20/2007	02/20/2017	Common Stock	22,00
Stock Appreciation Right	\$ 23.37						08/19/2008	02/19/2018	Common Stock	13,50
Stock Appreciation Right	\$ 22.14						02/17/2010	02/17/2019	Common Stock	12,30
Stock Appreciation Right	\$ 21.03						02/16/2011	02/16/2020	Common Stock	17,55
Stock Appreciation Right	\$ 23.76						03/15/2012	03/15/2021	Common Stock	14,38
Stock Appreciation Right	\$ 22.86						02/20/2013	02/20/2022	Common Stock	24,27
Stock Appreciation	\$ 22.89						02/19/2014	02/19/2023	Common Stock	16,67

Right

Stock Appreciation \$ 29.05 Right

02/18/2015 02/18/2024 Common 19,32 Stock 19,32

Reporting Owners

Reporting Owner Name / Addr	ess	Relationships						
	Director	10% Owner	Officer	Other				
HEINTZMAN DAVID P 3019 POPPY WAY LOUISVILLE, KY 40206	Х		Chairman & CEO					
Signatures								
//David P.	07/20/2014							

Heintzman 07/30/2014

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Exercise of nonqualified stock options.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.