#### Edgar Filing: SIMON NICHOLAS X - Form 4

| SIMON NI   | CHOLAS X   |          |   |  |             |        |  |   |                             |   |  |  |
|--|--|----------|---|--|-------------|--------|--|---|-----------------------------|---|--|--|
| Form 4   |  |          |   |  |             |        |  |   |                             |   |  |  |
| July 05, 20  | 11   |          |   |  |             |        |  |   |                             |   |  |  |
| FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION  |  |          |   |  |             |        |  |   |                             | OMB APPROVAL                              |  |  |
| Washington, D.C. 20549   |  |          |   |  |             |        |  |   | N OMB Numbe                 |   |  |  |
| Check this box<br>if no longer   |  |          |   |  |             |        | Expires  | 31, January 31, 2005  |                             |   |  |  |
| subject to STATEMENT OF<br>Section 16.<br>Form 4 or  |  |          |   | F CHANGES IN BENEFICIAL OW<br>SECURITIES |             |        |  |   | Estimat<br>burden<br>respon | ated average<br>n hours per               |  |  |
| Form 5<br>obligations<br>may continue.Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 19401(b).30(h) of the Investment Company Act of 1940 |  |          |   |  |             |        |  |   |                             |   |  |  |
| (Print or Type   | e Responses)   |          |   |  |             |        |  |   |                             |   |  |  |
| 1. Name and Address of Reporting Person <u>*</u><br>SIMON NICHOLAS X   |  |          | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>S Y BANCORP INC [SYBT] |  |             |        |  | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)   |                             |   |  |  |
| (Last)   | (First)  | (Middle) | 3. Date   | of Earliest                              | Transaction | 1      |  | (CI   | icek all appli              |   |  |  |
| 100 FRANK E. SIMON AVE.  |  |          | (Month/Day/Year)<br>07/01/2011  |  |             |        |  | X_ Director 10% Owner<br>Officer (give title below) Dther (specify below)   |                             |   |  |  |
| (Street)<br>SHEPHERDSVILLE, KY 40165   |  |          | 4. If Amendment, Date Original Filed(Month/Day/Year)                            |  |             |        |  | <ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul> |                             |   |  |  |
| SHEFTER  | DS VILLE, KT 4   | -0105    |   |  |             |        |  | Person  |                             |   |  |  |
| (City)   | (State)  | (Zip)    | Ta  | ble I - Non                              | -Derivativ  | e Seci | urities Ac   | equired, Disposed   | of, or Benef                | ficially Owned                            |  |  |
| 1.Title of<br>Security<br>(Instr. 3)   | 2. Transaction Date 2A. Deemed<br>(Month/Day/Year) Execution Date, it<br>any<br>(Month/Day/Year) |          | Date, if  | Code (Instr. 3, 4 and 5)                 |             |        | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4)  | Ownership<br>(Instr. 4)     |   |  |  |
| Common<br>Stock  |  |          |   |  |             |        |  | 8,543.4468  | D                           |   |  |  |
| Common<br>Stock  | 07/01/2011   |          |   | Р  | 52.725      | А      | \$<br>23.39  | 6,948.455   | I                           | Trust-Directors'<br>Deferred Comp<br>Plan |  |  |
| Common<br>Stock  |  |          |   |  |             |        |  | 37,805  | I                           | By Publishers<br>Printing Co.,<br>LLC     |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of<br/>information contained in this form are not<br/>required to respond unless the formSEC 1474<br/>(9-02)

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# displays a currently valid OMB control number.

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5.<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 1                   |                    | Underlying S    | 7. Title and Amount of<br>Jnderlying Securities<br>Instr. 3 and 4) |  |
|---|---|---|---|--|---|---------------------|--------------------|-----------------|--|--|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of<br>Shares                             |  |
| Option<br>(Right to<br>Buy)                         | \$ 20.2476  |   |   |  |   | 04/21/2005          | 04/21/2014         | Common<br>Stock | 1,050  |  |

### **Reporting Owners**

| <b>Reporting Owner Name / Address</b>                                   |          | Relationsh |         |       |
|---|----------|------------|---------|-------|
|   | Director | 10% Owner  | Officer | Other |
| SIMON NICHOLAS X<br>100 FRANK E. SIMON AVE.<br>SHEPHERDSVILLE, KY 40165 | X        |            |         |       |
| Signatures  |          |            |         |       |
| //Nicholas X. 07/0<br>Simon   | 5/2011   |            |         |       |

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

\*\*Signature of

Reporting Person