

THOMPSON KATHY C
Form 4
November 04, 2011

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
THOMPSON KATHY C

2. Issuer Name and Ticker or Trading Symbol
S Y BANCORP INC [SYBT]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
6804 FAIRWAY VIEW CT.

3. Date of Earliest Transaction (Month/Day/Year)
11/02/2011

Director 10% Owner
 Officer (give title below) Other (specify below)
Sr. Executive Vice President

(Street)
PROSPECT, KY 40059

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (D) Price			
Common Stock	05/19/2011		G	V 42 D 2	37,070.8704	D	
Common Stock	11/02/2011		F	6,568 D 1	30,502.8704	D	
Common Stock	11/02/2011		M	8,400 A 1	38,902.8704	D	
Common Stock					14,763.4342	I	

By
ESOP/401k-fbo
Kathy
Thompson

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
Option (Right to Buy)	\$ 16	11/02/2011		F	8,400	06/27/2001 12/27/2011	Common Stock	8,400
Option (Right to Buy)	\$ 18.619					06/17/2003 12/17/2012	Common Stock	7,140
Option (Right to Buy)	\$ 20.1714					06/16/2004 12/16/2013	Common Stock	9,760
Option (Right to Buy)	\$ 22.8095					06/14/2005 06/14/2014	Common Stock	9,340
Option (Right to Buy)	\$ 24.0667					07/17/2006 11/07/2016	Common Stock	14,700
Option (Right to Buy)	\$ 26.83					08/20/2007 02/20/2017	Common Stock	9,500
Stock Appreciation Right	\$ 23.37					08/19/2008 02/19/2018	Common Stock	6,000
Stock Appreciation Right	\$ 22.14					02/17/2010 02/17/2019	Common Stock	5,500
Stock Appreciation	\$ 21.03					02/16/2011 02/16/2020	Common Stock	9,010

Right

Stock

Appreciation \$ 23.76

03/15/2012 03/15/2021

Common Stock 6,11

Right

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
THOMPSON KATHY C 6804 FAIRWAY VIEW CT. PROSPECT, KY 40059	X		Sr. Executive Vice President	

Signatures

//Kathy C. 11/04/2011
Thompson

Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock Option Exercise
- (2) Gifted stock to charitable organization

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.