Edgar Filing: THOMPSON KATHY C - Form 4

THOMPSO Form 4	ON KATHY C											
February 2	1, 2013											
FORM 4 UNITED STATES SECU										OMB APPROVAL		
UNITED STATES S				SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549						r: 3235-0287		
Check this box if no longer										January 31,		
subject Section Form 4 Form 5	to STATE: 116. or	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 19								ted average hours per se 0.5		
obligat may co <i>See</i> Ins 1(b).	ions Section 17	(a) of the l	Public I		olding Co	ompa	ny Act	of 1935 or Sect				
(Print or Type	e Responses)											
THO (DOON KATHY O			Symbol	2. Issuer Name and Ticker or Trading Symbol				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
		S Y BANCORP INC [SYBT]										
(Last) (First) (Middle)			3. Date of Earliest Transaction					X Director 10% Owner X Officer (give title Other (specify below) below) Sr. Executive Vice President				
				19/2013								
	(Street)		4. If An	Amendment, Date Original				6. Individual or Joint/Group Filing(Check				
DDOCDEC	T VV 40050		Filed(M	lonth/Day/Ye	ear)			Applicable Line) _X_ Form filed b Form filed b				
PROSPEC	CT, KY 40059							Person				
(City)	(State)	(Zip)	Ta	ble I - Non	-Derivativ	ve Sec	urities A	cquired, Disposed	l of, or Benef	ficially Owned		
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)			3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	n: Ownership ct (D) (Instr. 4) ndirect			
~				Code V	Amount	(D)	Price	(Instr. 3 and 4)				
Common Stock	02/19/2013			F	296	D	\$ 22.89	28,546.86 <u>(2)</u>	D			
Common Stock	02/19/2013			А	2,592	A	<u>(1)</u>	31,138.86	D			
Common Stock	02/20/2013			F	345	D	\$ 22.7	30,793.86	D			
Common Stock								16,262.5168	Ι	By ESOP/401k-fbo Kathy Thompson		

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. oriNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	5		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option (Right to Buy)	\$ 20.1714					06/16/2004	12/16/2013	Common Stock	9,765
Option (Right to Buy)	\$ 22.8095					06/14/2005	06/14/2014	Common Stock	9,345
Option (Right to Buy)	\$ 24.0667					07/17/2006	11/07/2016	Common Stock	14,700
Option (Right to Buy)	\$ 26.83					08/20/2007	02/20/2017	Common Stock	9,500
Stock Appreciation Right	\$ 23.37					08/19/2008	02/19/2018	Common Stock	6,000
Stock Appreciation Right	\$ 22.14					02/17/2010	02/17/2019	Common Stock	5,500
Stock Appreciation Right	\$ 21.03					02/16/2011	02/16/2020	Common Stock	9,011
Stock Appreciation Right	\$ 23.76					03/15/2012	03/15/2021	Common Stock	6,116

Stock Appreciation \$ 22.86 Right

02/20/2013 02/20/2022 Common 10,640 Stock

Reporting Owners

Reporting Owner Name / Add	ress	Relationships						
	Director	10% Owner	Officer	Other				
THOMPSON KATHY C 6804 FAIRWAY VIEW C PROSPECT, KY 40059	Г. Х		Sr. Executive Vice President					
Signatures								
//Kathy C. Thompson	02/21/2013							
<u>**</u> Signature of Reporting Person	Date							

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock grant
- (2) Includes shares acquired through dividend reinvestment

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.