Edgar Filing: HOECK GREGORY A - Form 4

HOECK GREGORY A

Form 4 March 03, 2003

## FORM 4

X Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### **OMB APPROVAL**

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. . .0.5

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo and Dye's Section 16 Filer www.section16.net

, ,					ame <b>and</b> Ti <b>orp, Inc. SY</b>		6. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) (First) (Middle) 3009 Bunker Hill Drive				of Reporting Person,				ntement for h/Day/Year 003	Director			
		4	103-66-3312	2			Executive Vice President	2				
(Street) Louisville, KY 40205									7. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	7	Table I Non-Derivative Securities Acqu					red, Disposed of, or Beneficially Owned			
Security	action Date	2A. Deemed Execution Date, if any (Month/Day/ Year)	3. Transaction Code (Instr. 8		4. Securitie or Dispose (Instr. 3, 4 Amount	es Acqı d of (E	uired (A)	5. Amount of Securities Beneficially Owned Following Reported Transactions(s) (Instr. 3 & 4)	6. Owner- ship Form: Direct (D)	7. Nature of Indirect Beneficial I) Ownership (Instr. 4)		
Common Stock								2,0	00 D			
Common Stock	3/3/2003		J		83.0189	A	36.8515	331.76	19 I	ESOP Shares(6)		
Common Stock	3/3/2003		J		60.5894	A	36.9764	988.73	78 I	401k Shares <u>(7)</u>		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

#### **FORM 4 (continued)** Table II - Derivative Securities Acquired, Disposed of, or Beneficially

(e.g., puts, calls, warrants, options, convertible securities)

				0/1			, <u>i</u>					
1. Title	e of	2. Conver-	3.	3A.	4.	5.	6. Date Exercisable	7. Title and	8. Price of	9. Number of	10.	11. Nature
Deriva	tive	sion or	Trans-	Deemed	Trans-	Number	and Expiration	Amount of	Derivative	Derivative	Owner-	of Indirect

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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Security (Instr. 3)	Price of Derivative Security	action Date (Month/ Day/ Year)	Execution Date, if any (Month/ Day/ Year)	Code	of Derivat Securiti Acquire (A) or Dispose of (D) (Instr. 3, 4 & 5)		ed		Underlying Securities (Instr. 3 & 4)		Security (Instr. 5)	Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Form	Beneficial Ownership (Instr. 4)
				Code V	(A)			Expira- tion Date		Amount or Number of Shares				
Option (Right to Buy)	23.9375						(1)	4/20/09	Common Stock	2,600		2,600	D	
Option (Right to Buy)	21.00						(2)		Common Stock	2,600		2,600	D	
Option (Right to Buy)	20.63						(3)		Common Stock	3,400		3,400	D	
Option (Right to Buy)	33.60						(4)		Common Stock	3,250		3,250	D	
Option (Right to Buy)	39.10						(5)		Common Stock	2,500		2,500	D	

Explanation of Responses:

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۱	(1)	Vest	20%	ner	vear	hegin	nıng	4/20	1/2000	)

By: /s/ //Gregory A. Hoeck

3/3/2003 Date

\*\*Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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<sup>(2)</sup> Vest 20% per year beginning 1/7/01

<sup>(3)</sup> Vest 20% per year beginning 12/21/01

<sup>(4)</sup> Vest 20% per year begining 12/27/02

<sup>(5)</sup> Vest 20% per year beginning 12/17/03

<sup>(6)</sup> Allocation of ESOP Shares

<sup>(7)</sup> Allocation of 401k Shares

<sup>\*\*</sup>Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).