Edgar Filing: DEFORTE JOSEPH D - Form 4

DEFORTE JOSEPH D

Form 4

February 14, 2003

_ Check this box if no

obligations may continue.

Form 4 or Form 5

See Instruction 1(b).

FORM 4

longer subject to Section 16.

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. . . 0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo and Dye's Section 16 Filer www.section16.net

Name and Address of Rep DeForte Joseph D.				and Ticke Inc. "B"	er or	6. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) (First) Barnes Group Inc. P.O. Box 489, 123 Main St	of Re	R.S. Ident eporting lentity (v	Pei		umbe	Mo	Statement for onth/Day/Year bruary 13, 2003	Director			
(Street) Bristol, CT 06011-0489								If Amendment, te of Original onth/Day/Year)	nendment, 7. Individual Coriginal (Check Appl		
(City) (State)		Table 1	[Non-De	rivat	curities Acquired, Disp	posed of, or Beneficially Owned				
1. Title of Security (Instr. 3)	action Date (Month/ Day/	2A. Deem Execution Date, If any (Month/Day Year)	action Code th/Day/ (Instr 8)		Acquired Disposed (Instr. 3,	4. Securities Acquired (A) Disposed of (Instr. 3, 4 & Amount (A) or (D)		Securities	ship Form:	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock, Par Value \$0.01 Per Share	02/12/03		A (1)		7,000		\$18.90	7,982.0948	D		
Common Stock, Par Value \$0.01 Per Share								1,822.3342	I	By Employee Stock Purchase Plan	
Common Stock, Par Value \$0.01 Per Share								2,539.202 ⁽²⁾	I	By 401(k) Plan	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Edgar Filing: DEFORTE JOSEPH D - Form 4

1. Title of	2. Conver-	3. Trans-	3A.	4.	5.		6. Date Exerc	isable	7. Title and		8. Price of	9. Number of	10.	11. Nature
Derivative	sion or	action	Deemed	Trans-	Numb	er	and Expiratio	n	Amount of		Derivative	Derivative	Owner-	of Indirect
Security	Exercise	Date	Execution	action	of		Date		Underlying		Security	Securities	ship	Beneficial
	Price of		Date,	Code	Deriva	ative	(Month/Day/		Securities		(Instr. 5)	Beneficially	Form	Ownership
(Instr. 3)	Derivative	(Month/	if any		Securi	ties	Year)		(Instr. 3 & 4)			Owned	of Deriv-	(Instr. 4)
	Security	Day/	(Month/	(Instr.	Acqui	red						Following	ative	
		Year)	Day/	8)	(A) or							Reported	Security:	
			Year)		Dispo	sed						Transaction(s)	Direct	
					of (D)							(Instr. 4)	(D)	
												(======================================	or	
					(Instr.	3,							Indirect	
					4 & 5)							(I)	
				Code V	1		Date	Expira-	Title	Amount			(Instr. 4)	
				Code	(A)	. ,		tion		or				
								Date		Number				
								Date		of				
										Shares				
C4 a ala	¢10.13	02/12/02		.	5 000		(3)	02/12/12				5 000	D	
Stock	\$19.12	02/13/03		A	5,000		(3)		Common	5,000		5,000	ע	
Option									Stock					
(Right to														
Buy)			I	1 1	1				I	I		ĺ		

Explanation of Responses:

- (1) Reporting Person has received 7,000 shares of Performance Accelerated Restricted Stock that is subject to forfeiture if certain events occur.
- (2) Represents the number of shares beneficially owned as of February 13, 2003.
- (3) The options vest at the rate of 33-1/3% on the first, third and fifth anniversaries of the grant date.

By: /s/ Signe S. Gates, Attorney-in-Fact February 13, 2003
Date

**Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

^{**}Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).