

ORACLE CORP /DE/

Form 4

October 07, 2004

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
ELLISON LAWRENCE JOSEPH

(Last) (First) (Middle)

C/O DELPHI ASSET MGMT  
CORPORATION, 6005 PLUMAS  
STREET, SUITE 202

(Street)

RENO, NV 89509

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

ORACLE CORP /DE/ [ORCL]

3. Date of Earliest Transaction  
(Month/Day/Year)

10/05/2004

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)

Chief Executive Officer

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount or (D)	Price	
Common Stock	10/05/2004		S		225,000 (1)	D \$ 12	1,257,234,580 D
Common Stock	10/05/2004		S		125,000 (1)	D \$ 12.01	1,257,109,580 D
Common Stock	10/05/2004		S		50,000 (1)	D \$ 12.02	1,257,059,580 D
Common Stock	10/05/2004		S		25,000 (1)	D \$ 12.03	1,257,034,580 D
Common Stock	10/05/2004		S		25,000 (1)	D \$ 12.04	1,257,009,580 D

Edgar Filing: ORACLE CORP /DE/ - Form 4

Common Stock	10/05/2004	S	50,000 <u>(1)</u>	D	\$ 12.05	1,256,959,580	D	
Common Stock	10/05/2004	S	75,000 <u>(1)</u>	D	\$ 12.06	1,256,884,580	D	
Common Stock	10/05/2004	S	25,000 <u>(1)</u>	D	\$ 12.07	1,256,859,580	D	
Common Stock	10/05/2004	S	75,000 <u>(1)</u>	D	\$ 12.1	1,256,784,580	D	
Common Stock	10/05/2004	S	25,000 <u>(1)</u>	D	\$ 12.11	1,256,759,580	D	
Common Stock	10/05/2004	S	25,000 <u>(1)</u>	D	\$ 12.12	1,256,734,580	D	
Common Stock	10/05/2004	S	50,000 <u>(1)</u>	D	\$ 12.13	1,256,684,580	D	
Common Stock	10/05/2004	S	25,000 <u>(1)</u>	D	\$ 12.15	1,256,659,580	D	
Common Stock	10/05/2004	S	75,000 <u>(1)</u>	D	\$ 12.16	1,256,584,580	D	
Common Stock	10/05/2004	S	50,000 <u>(1)</u>	D	\$ 12.17	1,256,534,580	D	
Common Stock	10/05/2004	S	50,000 <u>(1)</u>	D	\$ 12.18	1,256,484,580	D	
Common Stock	10/05/2004	S	25,000 <u>(1)</u>	D	\$ 12.19	1,256,459,580	D	
Common Stock	10/05/2004	G V	1,100,000	D	\$ 0 <u>(2)</u>	1,255,359,580	D	
Common Stock						911,744	I	by Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Notional Amount of Derivative Security Owned (Instr. 6)
--------------------------------------------	--------------------------------------------------------	--------------------------------------	----------------------------------------------------	--------------------------------	----------------------------------------------------	----------------------------------------------------------	---------------------------------------------------------------	--------------------------------------------	------------------------------------------------------------

Disposed  
of (D)  
(Instr. 3,  
4, and 5)

Trans  
(Instr

Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
------	---	-----	-----	---------------------	--------------------	-------	----------------------------------------

## Reporting Owners

### Reporting Owner Name / Address

### Relationships

Director	10% Owner	Officer	Other
----------	-----------	---------	-------

ELLISON LAWRENCE JOSEPH  
C/O DELPHI ASSET MGMT CORPORATION  
6005 PLUMAS STREET, SUITE 202  
RENO, NV 89509

X X Chief Executive Officer

## Signatures

/s/Barbara R. Wallace by Barbara R. Wallace, Attorney in Fact for Lawrence J. Ellison (POA  
filed 10/4/02)

10/07/2004

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Sale of shares pursuant to Rule 10b5-1 Plan adopted on January 30, 2004

(2) Bonafide gift to the Ellison Medical Foundation pursuant to Rule 10b5-1 Plan adopted on January 30, 2004

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.