Edgar Filing: CODEXIS INC - Form 8-K

CODEXIS INC Form 8-K October 15, 2015

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

**CURRENT REPORT** 

PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934

Date of report (Date of earliest event reported): October 15, 2015

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Codexis, Inc.

(Exact name of Registrant as Specified in its Charter)

Delaware 001-34705 71-0872999
(State or other jurisdiction (Commission (I.R.S. Employer of incorporation) File Number) Identification No.)

200 Penobscot Drive Redwood City, CA 94063 (Address of Principal Executive Offices) (Zip Code)

(650) 421-8100 (Registrant's telephone number, including area code)

Not Applicable (Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- " Written communication pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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## Item 8.01. Other Events.

On October 15, 2015, Codexis, Inc. announced the completion of Wave 1 of the transfer of its CodeEvolver® protein engineering platform technology to Merck in the third quarter of 2015. The full text of the press release issued in connection with the announcement is attached hereto as Exhibit 99.1 to this Current Report on Form 8-K and is incorporated by reference herein.

Item 9.01. Financial Statement and Exhibits.

(d) Exhibits

Exhibit No. Description

99.1 Press release dated October 15, 2015

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## **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

CODEXIS, INC.

Date: October 15, 2015

By: /s/ Douglas Sheehy

Name: Douglas Sheehy

ame: Douglas Sneeny
Executive Vice President, Chief

Title: Administrative Officer, General Counsel and

Secretary