### Edgar Filing: PNC FINANCIAL SERVICES GROUP INC - Form 4

#### PNC FINANCIAL SERVICES GROUP INC

Form 4

January 04, 2007

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Estimated average burden hours per response... 0.5

**OMB APPROVAL** 

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* LINDSAY BRUCE C

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

PNC FINANCIAL SERVICES **GROUP INC [PNC]** 

(Check all applicable)

(Last)

(First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

01/02/2007

X\_ Director 10% Owner Officer (give title Other (specify

2117 ASSOCIATES, LLC, 308 E. LANCASTER AVENUE, SUITE

300

Stock

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

WYNNEWOOD, PA 19096-2145

(Street)

(City) (State) (Zip) 3. 1.Title of 2. Transaction Date 2A. Deemed 4. Securities Acquired 5. Amount of

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Security (Instr. 3)	(Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)		n(A) or Disposed (Instr. 3, 4 and (A) or		` ′	Securities Beneficially Owned Following Reported Transaction(s)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	
			Code	V	Amount	(D)	Price	(Instr. 3 and 4)		
\$5 Par Common Stock	10/24/2006		J <u>(1)</u>	V	164	A	<u>(2)</u>	7,574	D	
\$5 Par Common	01/02/2007		A(3)		68	A	\$ 74.04	7,642	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form

7. Nature of

Indirect

Beneficial Ownership (Instr. 4)

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# displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (Instr.			Expiration D (Month/Day)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code	V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Unit	<u>(4)</u>	10/24/2006		J <u>(5)</u>	V	18	<u>(6)</u>	<u>(6)</u>	\$5 Par Common Stock	18	\$ 68.5
Phantom Stock Unit	<u>(4)</u>	01/02/2007		A <u>(7)</u>		210	<u>(6)</u>	<u>(6)</u>	\$5 Par Common Stock	210	\$ 74.0
Phantom Stock Unit	<u>(4)</u>	10/24/2006		J <u>(8)</u>	V	75	<u>(6)</u>	<u>(6)</u>	\$5 Par Common Stock	75	\$ 68.5

## **Reporting Owners**

Relationships

Date

LINDSAY BRUCE C 2117 ASSOCIATES, LLC 308 E. LANCASTER AVENUE, SUITE 300 WYNNEWOOD, PA 19096-2145

X

## **Signatures**

Lori A. Hasselman, Attorney-in-Fact for Bruce C.
Lindsay

01/04/2007

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) Dividend reinvestment shares acquired.
- (2) Dividend reinvestment shares acquired at various prices.
- (3) Annual grant pursuant to PNC Directors Share Incentive Plan.
- (**4**) 1 for 1.
- (5) Phantom Stock Units received as dividend equivalents under the PNC Directors Deferred Compensation Plan.
- (6) Phantom Stock Units will be settled in cash upon distribution from the reporting person's plan account and generally do not expire.
- (7) Phantom Stock Units received under the PNC Directors Deferred Compensation Plan.
- (8) Phantom Stock Units received as dividend equivalents under the PNC Outside Directors Deferred Stock Unit Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.