

UNIVERSAL TECHNICAL INSTITUTE INC

Form 4

April 11, 2007

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
HARTMAN ROBERT D

2. Issuer Name and Ticker or Trading Symbol  
UNIVERSAL TECHNICAL INSTITUTE INC [UTI]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
20410 N. 19TH AVENUE, SUITE 200

3. Date of Earliest Transaction (Month/Day/Year)  
04/09/2007

Director  10% Owner  
 Officer (give title below)  Other (specify below)

(Street)  
PHOENIX, AZ 85027

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
				(A) or (D) Code V Amount (D) Price			
Common Stock, \$0.0001 par value	04/09/2007		S <sup>(1)</sup>	2,246 D \$ 23.5187	18,891	I	Hartman 1997 Charitable Remainder Unitrust
Common Stock, \$0.0001 par value	04/09/2007		S <sup>(1)</sup>	2,711 D \$ 23.5187	22,791	I	Hartman 2007 Charitable Remainder Unitrust
	04/09/2007		S <sup>(1)</sup>	4,281 D	452,121	I	

Edgar Filing: UNIVERSAL TECHNICAL INSTITUTE INC - Form 4

Common Stock, \$0.0001 par value					\$ 23.5187			Hartman Investments Limited Partnership
Common Stock, \$0.0001 par value	04/09/2007	S <sup>(1)</sup>	8,562	D	\$ 23.5187	669,242	I	Hartman Family Trust
Common Stock, \$0.0001 par value	04/10/2007	S <sup>(1)</sup>	1,060	D	\$ 23.6256	17,831	I	Hartman 1997 Charitable Remainder Unitrust
Common Stock, \$0.0001 par value	04/10/2007	S <sup>(1)</sup>	1,280	D	\$ 23.6256	21,511	I	Hartman 2007 Charitable Remainder Unitrust
Common Stock, \$0.0001 par value	04/10/2007	S <sup>(1)</sup>	2,020	D	\$ 23.6256	450,101	I	Hartman Investments Limited Partnership
Common Stock, \$0.0001 par value	04/10/2007	S <sup>(1)</sup>	4,040	D	\$ 23.6256	665,202	I	Hartman Family Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Employee Stock Option (Right to Buy)	\$ 20.5	12/17/2004	12/17/2013	Common Stock	102,141
Employee Stock Option (Right to Buy)	\$ 20.5	12/17/2004	12/17/2013	Common Stock	100
Employee Stock Option (Right to Buy)	\$ 38.46	02/16/2006	02/16/2015	Common Stock	35,000

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HARTMAN ROBERT D 20410 N. 19TH AVENUE SUITE 200 PHOENIX, AZ 85027	X			

## Signatures

Robert D.  
Hartman

04/10/2007

\*\*Signature of Reporting Person                      Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on or about February 12, 2007.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.