

AMICUS THERAPEUTICS INC  
Form 4  
June 06, 2007

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL  
OMB Number: 3235-0287  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
BARKAS ALEXANDER E

2. Issuer Name and Ticker or Trading Symbol  
AMICUS THERAPEUTICS INC  
[FOLD]

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
06/05/2007

Director  10% Owner  
 Officer (give title below)  Other (specify below)

C/O PROSPECT VENTURE PARTNERS, 435 TASSO STREET, SUITE 200

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

PALO ALTO, CA 94301

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |           |   |   |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|-----------|---|---|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price   |           |   |   |
| Common Stock                    | 06/05/2007                           |  | C                              |   | 2,198,582   | A  | Ⓛ   | 2,198,582 | I | By Prospect Venture Partners II, L.P. (2) |
| Common Stock                    | 06/05/2007                           |  | X(4)                           |   | 14,892  | A  | \$ 6.375  | 2,213,474 | I | By Prospect Venture Partners II, L.P. (2) |

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|              |            |                  |        |   |            |           |   |  |
|--------------|------------|------------------|--------|---|------------|-----------|---|--|
| Common Stock | 06/05/2007 | S <sup>(4)</sup> | 6,330  | D | \$ 15      | 2,207,144 | I | By Prospect Venture Partners II, L.P. <sup>(2)</sup> |
| Common Stock | 06/05/2007 | C                | 33,478 | A | <u>(1)</u> | 33,478    | I | By Prospect Associates II, L.P. <sup>(3)</sup>       |
| Common Stock | 06/05/2007 | X <sup>(5)</sup> | 227    | A | \$ 6.375   | 33,705    | I | By Prospect Associates II, L.P. <sup>(3)</sup>       |
| Common Stock | 06/05/2007 | S <sup>(5)</sup> | 97     | D | \$ 15      | 33,608    | I | By Prospect Associates II, L.P. <sup>(3)</sup>       |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |              |                         |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|-------------------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title        | Amount Number Shares    |
| Series B Convertible Preferred Stock       | <u>(1)</u>   | 06/05/2007                           |  | C                              | 978,562<br><u>(1)</u>   | <u>(1)</u><br><u>(1)</u>                                 | <u>(1)</u><br><u>(1)</u>                                      | Common Stock | 978,562<br><u>(1)</u>   |
| Series B Convertible Preferred Stock       | <u>(1)</u>   | 06/05/2007                           |  | C                              | 14,902 <u>(1)</u>   | <u>(1)</u><br><u>(1)</u>                                 | <u>(1)</u><br><u>(1)</u>                                      | Common Stock | 14,902<br><u>(1)</u>    |
| Series C Convertible Preferred             | <u>(1)</u>   | 06/05/2007                           |  | C                              | 1,000,978<br><u>(1)</u>   | <u>(1)</u><br><u>(1)</u>                                 | <u>(1)</u><br><u>(1)</u>                                      | Common Stock | 1,000,978<br><u>(1)</u> |

Stock

|  |          |            |   |             |     |     |              |             |
|--|----------|------------|---|-------------|-----|-----|--------------|-------------|
| Series C Convertible Preferred Stock         | (1)      | 06/05/2007 | C | 15,242 (1)  | (1) | (1) | Common Stock | 15,242      |
| Series D Convertible Preferred Stock         | (1)      | 06/05/2007 | C | 219,042 (1) | (1) | (1) | Common Stock | 219,042 (1) |
| Series D Convertible Preferred Stock         | (1)      | 06/05/2007 | C | 3,334 (1)   | (1) | (1) | Common Stock | 3,334       |
| Warrant to purchase Series B Preferred Stock | \$ 6.375 | 06/05/2007 | X | 14,892      | (6) | (6) | Common Stock | 14,892      |
| Warrant to purchase Series B Preferred Stock | \$ 6.375 | 06/05/2007 | X | 227         | (7) | (7) | Common Stock | 227         |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |         |       |
|---|---------------|-----------|---------|-------|
|   | Director      | 10% Owner | Officer | Other |
| BARKAS ALEXANDER E<br>C/O PROSPECT VENTURE PARTNERS<br>435 TASSO STREET, SUITE 200<br>PALO ALTO, CA 94301 |               |           | X       |       |

## Signatures

/s/ Dave Markland, Attorney in Fact for Alexander E. Barkas,  
Ph.D.

06/06/2007

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each share of the Issuer's Preferred Stock converted automatically into shares of the Issuer's Common Stock on a 1-for-1 basis immediately prior to the closing of the Issuer's initial public offering and had no expiration date.

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The shares are owned by Prospect Venture Partners II, L.P. ("PVP II"), which is under common control with Prospect Associates II, L.P. ("PA II"). Prospect Management Co. II, L.L.C. ("PMC II") serves as the sole general partner of PVP II. Alexander E. Barkas, Ph.D. is a Managing Director of PMC II and shares voting and investment power over the shares held by PVP II. The reporting person disclaims beneficial ownership of the shares reported herein, except to the extent of his proportionate pecuniary interest therein.

(2) The shares are owned by PA II. PMC II serves as the sole general partner of PA II. Alexander E. Barkas, Ph.D. is a Managing Director of PMC II and shares voting and investment power over the shares held by PA II. The reporting person disclaims beneficial ownership of the shares reported herein, except to the extent of his proportionate pecuniary interest therein.

(3) Net exercise of warrant held by PVP II for an aggregate acquisition of 8,562 shares of Common Stock.

(4) Net exercise of warrant held by PA II for an aggregate acquisition of 130 shares of Common Stock.

(5) The warrant is owned by PVP II and is immediately exercisable. The warrant was net exercised upon the closing of the Issuer's initial public offering.

(6) The warrant is owned by PA II and is immediately exercisable. The warrant was net exercised upon the closing of the Issuer's initial public offering.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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