

Dolan Media CO
Form 3
August 01, 2007

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Winton David Michael

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

08/01/2007

3. Issuer Name and Ticker or Trading Symbol
Dolan Media CO [DM]

4. Relationship of Reporting
Person(s) to Issuer

5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer ☐ Other
(give title below) (specify below)

C/O WINTON
PARTNERS, 1300 US TRST
BUILDING, 730 SECOND AVE
S.

(Street)

MINNEAPOLIS, MN 55402

(City)

(State)

(Zip)

6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

29,347 ⁽¹⁾

I

By David J. Winton Trust ⁽²⁾

Common Stock

54,094 ⁽¹⁾

I

By Parsnip River Company, L.P.
⁽³⁾

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Series C Participating Convertible Preferred Stock	Â (4)	Â (4)	Common Stock	3,710 (1) (4)	\$ 0 (4)	I	By David J. Winton Trust (2)
Series C Participating Convertible Preferred Stock	Â (4)	Â (4)	Common Stock	3,710 (1) (4)	\$ 0 (4)	I	By Parsnip River Company, L.P. (3)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Winton David Michael C/O WINTON PARTNERS 1300 US TRST BUILDING, 730 SECOND AVE S. MINNEAPOLIS, MN 55402	Â X	Â	Â	Â

Signatures

/s/ David M.
Winton

08/01/2007

Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Does not reflect a 9 for 1 stock split, which the Issuer intends to effect through a dividend of 8 shares of its common stock for each share of its common stock outstanding immediately prior to the consummation of the Issuer's initial public offering.
- (2) The reporting person is the income beneficiary of the David J. Winton Trust and has sole investment and voting power over the securities owned by the David J. Winton Trust.
- (3) The reporting person is the managing general partner of Parsnip River Company, L.P.
- (4) The series C participating convertible preferred stock is convertible into common stock at any time at the holder's election on approximately a 1 to 14.84 basis, and has no expiration date. The Issuer has exercised its right to require the conversion of these shares. Therefore, each outstanding share of series C participating convertible preferred stock will convert into approximately 14.84 shares of common stock upon the consummation of the Issuer's initial public offering.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.