

SHROTRIYA RAJESH C MD

Form 4

December 30, 2011

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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2005  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
SHROTRIYA RAJESH C MD

2. Issuer Name **and** Ticker or Trading  
Symbol

SPECTRUM  
PHARMACEUTICALS INC [SPPI]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
11500 S. EASTERN AVE., SUITE  
240

3. Date of Earliest Transaction  
(Month/Day/Year)  
12/29/2011

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)  
Chairman, CEO & President

(Street)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

HENDERSON, NV 89052

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D) Price			
Common Stock	12/29/2011		M		12,000	A \$ 4.75	2,313,529	D	
Common Stock	12/29/2011		M		17,500	A \$ 4.9	2,331,029	D	
Common Stock	12/29/2011		M		18,552	A \$ 1.99	2,349,581	D	
Common Stock	12/29/2011		M		70,752	A \$ 4.23	2,420,333	D	
Common Stock	12/29/2011		M		17,985	A \$ 5.53	2,438,318	D	

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Common Stock	12/29/2011	M	37,500	A	\$ 1.43	2,475,818	D
Common Stock	12/29/2011	M	31,547	A	\$ 1.47	2,507,365	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option Exercise (Right to Buy)	\$ 4.75	12/29/2011		M		12,000		03/17/2003	06/17/2012	Common Stock	12,000
Stock Option Exercise (Right to Buy)	\$ 4.9	12/29/2011		M		17,500		09/12/2003	09/12/2013	Common Stock	17,500
Stock Option Exercise (Right to Buy)	\$ 1.99	12/29/2011		M		18,552		09/05/2006	09/05/2013	Common Stock	18,552
Stock Option Exercise (Right to Buy)	\$ 4.23	12/29/2011		M		70,752		01/01/2009	01/01/2016	Common Stock	70,752
Stock Option Exercise	\$ 5.53	12/29/2011		M		17,985		01/01/2010	01/01/2017	Common Stock	17,985

(Right to  
Buy)

Stock  
Option

Exercise	\$ 1.43	12/29/2011		M	37,500	12/06/2011	12/06/2018	Common Stock	37,500
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(Right to  
Buy)

Stock  
Option

Exercise	\$ 1.47	12/29/2011		M	31,547	<u>(1)</u>	01/16/2019	Common Stock	31,547
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(Right to  
Buy)

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SHROTRIYA RAJESH C MD 11500 S. EASTERN AVE. SUITE 240 HENDERSON, NV 89052	X		Chairman, CEO & President	

## Signatures

/s/ Brett L. Scott,  
attorney-in-fact

12/30/2011

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) 25% of the option shares vested on January 16, 2009. The remaining option shares will vest in equal annual increments over three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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