### Edgar Filing: EASTMAN CHEMICAL CO - Form 4

EASTMAN CHEM Form 4 October 03, 2013	IICAL CO										
FORM 4	UNITED ST	FATES S	SECUI	RITIES A	AND EX	CHANGE	COMMISSION		PPROVAL		
Check this box				shington				Number:	3235-0287 January 31,		
if no longer subject to Section 16. Form 4 or	STATEMENT OF CHANGES IN BENEFICIAL OWNERS SECURITIES							Expires: Estimated a burden hou response	2005 average irs per		
Form 5 obligations may continue. See Instruction 1(b).	*	of the Pu	ublic U	tility Hol	ding Con		nge Act of 1934, of 1935 or Section 940				
(Print or Type Response	es)										
1. Name and Address o HERNANDEZ RC	- 5	Symbol	r Name <b>an</b> IAN CHI		Trading CO [EM]	5. Relationship of Reporting Person(s) to Issuer					
(Last) (Fir	rst) (Mid		3. Date of Earliest Transaction (Check						ck all applicable)		
200 SOUTH WILCOX DRIVE			(Month/I 10/01/2	Day/Year) 2013			X Director 10% Owner Officer (give title Other (specify below)below)				
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>				
KINGSPORT, TN		in)					Person				
(City) (Sta	, , ,	-					cquired, Disposed		•		
	action Date 24 Day/Year) Ex an (M	xecution D	Date, if	Code (Instr. 8)	4. Securiti nAcquired Disposed (Instr. 3, 4	(A) or of (D) and 5) (A) or	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Deminden D				Code V		(D) Price	·				
Reminder: Report on a	separate line fo	or each clas	ss of sect	urities bene	Perso inform requir	ns who rest nation cont ed to respo ys a curre	spond to the colle spond to the colle ained in this form ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)		

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount of	8. Price
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	onof	Expiration Date	Underlying Securities	Derivativ
Security	or Exercise		any	Code	Derivative	(Month/Day/Year)	(Instr. 3 and 4)	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Securities			(Instr. 5)

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	Derivative Security				Acquin (A) or Dispos of (D) (Instr. and 5)	sed 3, 4,					
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Units	\$ 0 <u>(1)</u>	10/01/2013	А		380 (2)		(1)	<u>(1)</u>	Common Stock	380 <u>(2)</u>	\$ 0 <u>(1</u>

## **Reporting Owners**

Reporting Owner Name / Address		Relationsh		
	Director	10% Owner	Officer	Other
HERNANDEZ ROBERT M 200 SOUTH WILCOX DRIVE KINGSPORT, TN 37660	Х			
Signatures				
Brian L. Henry, by Power of Attorney		10/03/2013	i.	
**Signature of Reporting Person		Date		

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Phantom Stock Units credited under the Directors' Deferred Compensation Plan, each having a value equal to the market value of one share of issuer common stock and payable only in cash after termination of service as a director.
- (2) Automatic deferral of a portion of director's annual retainer fees into the director's stock account of the Directors' Deferred Compensation Plan.
- (3) Includes 59 units credited since April 1, 2013 as hypothetical reinvestment of dividend equivalents.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.