## Edgar Filing: TD AMERITRADE HOLDING CORP - Form 4

TD AMERITRADE HOLDING CORP Form 4 December 19, 2013 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading COHEN MARSHALL A Issuer Symbol TD AMERITRADE HOLDING (Check all applicable) CORP [AMTD] (Last) (First) (Middle) 3. Date of Earliest Transaction X\_ Director 10% Owner Officer (give title Other (specify (Month/Day/Year) below) below) SCOTIA PLAZA, SUITE 2100, 40 12/17/2013 KING STREET WEST (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting TORONTO, A6 M5KIA2 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) anv Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Ownership (Month/Day/Year) (Instr. 8) Owned Indirect (I) Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount Price (D) Common

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

A

12/17/2013

Stock

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

D

116,210

\$0

A

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1.504

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1. Title of	2.	3. Transaction Date	3A. Deemed	4. 5.		6. Date Exerc	Date Exercisable and 7.		le and	8. Price of	9
Derivative	Conversion	(Month/Day/Year)	Execution Date, if			L .		Amount of		Derivative	]
Security	or Exercise		any					Under	rlying	Security	5
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8	) Derivative			Securities		(Instr. 5)	]
	Derivative				Securities			(Instr. 3 and 4)			(
	Security				Acquired (A) or Disposed						J
	•										]
											5
					of (D)						(
				(Instr. 3, 4, and 5)							
					· · ·						
									Amount		
						Date Exercisable	Expiration Date		or		
								Title	Number		
									of		
				Code	V (A) (D)				Shares		

## **Reporting Owners**

**Reporting Owner Name / Address** 

SCOTIA PLAZA, SUITE 2100

COHEN MARSHALL A

40 KING STREET WEST TORONTO, A6 M5KIA2

Signatures /s/ David L. Lambert, as attorney-in-fact for Marshall A. Cohen

\*Signature of Reporting Person

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

Director

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\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Relationships

10% Owner Officer

Other

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

12/19/2013

Date

9. Nu Deriv Secu: Bene Own Follo Repo Trans (Instr