Ceres, Inc. Form 4 March 12, 2014

## FORM 4

## **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

0.5

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response...

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* ARTAL LUXEMBOURG S A

(Street)

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

Ceres, Inc. [CERE]

(Check all applicable)

(Last)

(Instr. 3)

(First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

X\_ Director 10% Owner Other (specify Officer (give title

03/10/2014

below) 6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

(A)

or

(D)

Α

LUXEMBOURG, N4 L-2310

10-12 AVENUE PASTEUR

(City) (State) (Zip) 1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if

4. Securities Acquired (A) 5. Amount of Transactionr Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

Securities Beneficially Owned Following

7. Nature of Ownership Indirect Form: Beneficial Direct (D) Ownership or Indirect (Instr. 4)

Code V Amount

Reported Transaction(s) (Instr. 3 and 4)

(Instr. 4)

Common 03/10/2014 Stock

J(1)4,000,000

\$ 1 8,192,167

Price

 $D^{(2)}$ 

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: Ceres, Inc. - Form 4

1. Title Derivat Security (Instr. 3	ive Conversion or Exercise	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	of Derivativ Securities Acquired (A) or Disposed of (D)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(Instr. 3, 4, and 5) (A) (D)		Expiration Date	or Nu of	umber		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
<b>F</b>	Director	10% Owner	Officer	Other			
ARTAL LUXEMBOURG S A 10-12 AVENUE PASTEUR LUXEMBOURG, N4 L-2310	X	X					
Minne Pascal PLACE STE. GUDULE, 19 BRUXELLES, C9 B-1000	X	X					
Artal International S.C.A. 10-12 AVENUE PASTEUR LUXEMBOURG, N4 L-2310	X	X					
Artal International Management S.A. 10-12 AVENUE PASTEUR LUXEMBOURG, N4 L-2310	X	X					
Artal Group S.A. 10-12 AVENUE PASTEUR LUXEMBOURG, N4 L-2310	X	X					
Westend S.A. 10-12 AVENUE PASTEUR LUXEMBOURG, N4 L-2310	X	X					
Stichting Administratiekantoor Westend DE BOELELAAN 7 AMSTERDAM, P7 NL 1083 HJ	X	X					

# **Signatures**

ARTAL LUXEMBOURG S.A., By: /s/ Anne Goffard, Name: Anne Goffard, Title: Managing Director

03/12/2014

\*\*Signature of Reporting Person

Date

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ARTAL INTERNATIONAL S.C.A., By: ARTAL INTERNATIONAL MANAGEMENT

S.A., its managing partner, By: /s/ Anne Goffard, Name: Anne Goffard, Title: Managing Director

03/12/2014

\*\*Signature of Reporting Person

Date

ARTAL INTERNATIONAL MANAGEMENT S.A., By: /s/ Anne Goffard, Name: Anne

Goffard, Title: Managing Director

03/12/2014

\*\*Signature of Reporting Person

Date

ARTAL GROUP S.A., By: /s/ Anne Goffard, Name: Anne Goffard, Title: Authorized Person

03/12/2014

\*\*Signature of Reporting Person

Date

WESTEND S.A., By: /s/ Pascal Minne, Name: Pascal Minne, Title: Director

03/12/2014

\*\*Signature of Reporting Person

Date

STICHTING ADMINISTRATIEKANTOOR WESTEND, By: /s/ Pascal Minne, Name:

Pascal Minne, Title: Sole Member of the Board

03/12/2014

\*\*Signature of Reporting Person

Date

PASCAL MINNE, /s/ Pascal Minne

03/12/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On March 10, 2014, Artal Luxembourg S.A. acquired 4,000,000 shares of Issuer common stock from the underwriter in a public offering of common stock of the Issuer.

The reported shares of Issuer common stock are owned directly by Artal Luxembourg S.A., which is a wholly owned subsidiary of Artal International S.C.A. Artal International Management S.A. is the managing partner of Artal International S.C.A., which is a wholly

owned subsidiary of Artal Group S.A., which is a wholly owned subsidiary of Westend S.A, which is a wholly owned subsidiary of Stichting Administratiekantoor Westend (the "Stichting"). Mr Pascal Minne is the sole member of the board of the Stichting.

Accordingly, each of the Reporting Persons may be deemed to be beneficial owners of the reported securities. Each of the Reporting Persons disclaims beneficial ownership of such securities, except to the extent of its or his pecuniary interest therein.

#### Remarks:

For purposes of Section 16 of the Securities Exchange Act of 1934, as amended, the Reporting Persons may be deemed to be of Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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