1

6. Nature of Indirect

(Instr. 5)

**Beneficial Ownership** 

### Edgar Filing: STREAMLINE HEALTH SOLUTIONS INC. - Form 3

STREAMLINE HEALTH SOLUTIONS INC. Form 3 April 04, 2014 UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

#### **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

#### (Print or Type Responses)

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and

Expiration

**Expiration Date** 

Exercisable Date

(Month/Day/Year)

Date

1. Name and Address of Reporting Person <u>*</u> Salisbury Randolph			<ul><li>2. Date of Event Requiring</li><li>Statement</li><li>(Month/Day/Year)</li></ul>	3. Issuer Name and Ticker or Trading Symbol STREAMLINE HEALTH SOLUTIONS INC. [STRM]				
(Last)	(First)	(Middle)	03/27/2014	4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)	
1230 PEAC NE, SUITI		FREET		(Checl	k all applicable)			
ATLANTA,	(Street) GA 30	)309		Director 10% Owner X_Officer Other (give title below) (specify below) SVP & Chief Marketing Officer			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person	
(City)	(State)	(Zip)	Table I - N	Non-Deriva	tive Securiti	es Be	neficially Owned	
1.Title of Secur (Instr. 4)	ity		2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr.	*	
Reminder: Repo owned directly	-		ach class of securities benefic	ially	SEC 1473 (7-02	)		
	inforr requi	nation cont red to respo	pond to the collection of ained in this form are not ond unless the form displ MB control number.	t				
Т	able II - De	rivative Secu	rities Beneficially Owned (e	.g., puts, calls	s, warrants, opt	ions, c	onvertible securities)	

3. Title and Amount of

Securities Underlying

Amount or

Number of

Shares

Derivative Security

(Instr. 4)

Title

	or Indirect (I) (Instr. 5)
f securities beneficially	SEC 1473 (7-02)
he collection of his form are not	

5.

(I)

Ownership

Derivative

Security:

Direct (D)

or Indirect

Form of

4.

Conversion

or Exercise

Derivative

Price of

Security

**OMB APPROVAL** 

OMB 3235-0104 Number: January 31, Expires: 2005 Estimated average burden hours per response... 0.5

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						(Instr. 5)	
Stock Option	(1)	09/14/2022	Common Stock, \$.01 per share par value	30,000	\$4	I	See footnotes (2)
Stock Option	( <u>3)</u>	02/02/2024	Common Stock, \$.01 per share par value	125,000	\$ 6.14	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
r g	Director	10% Owner	Officer	Other		
Salisbury Randolph 1230 PEACHTREE STREET NE SUITE 1000 ATLANTA, GA 30309	Â	Â	SVP & Chief Marketing Officer	Â		
Signatures						
Jack W. Kennedy Jr., attorney-in-fact		/04/2014				

Date

## \*\*Signature of Reporting Person **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The Stock Option vests in 36 equal monthly installments beginning on the first month after the grant date of September 15, 2012. (1)
- The Stock Option was granted to Mockingbird Partners Consulting Group, LLC ("Mockingbird"), an entity of which Mr. Salsibury is the (2)managing member. All of the equity interests in Mockingbird are owned by Mr. Salisbury and his spouse.
- (3) The Stock Option vests in 36 equal monthly installments beginning on the first month after the grant date of February 3, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.