Carbonite Inc Form 3 November 17, 2014

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Carbonite Inc [CARB]  **MEISELES BRADFORD** (Month/Day/Year) 11/10/2014 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O CARBONITE, INC., 2 (Check all applicable) **AVENUE DE LAFAYETTE** (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting SVP of Engineering Person BOSTON, MAÂ 02111 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 4. Nature of Indirect Beneficial Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 25,227 (1) D Common Stock  $15,000 \frac{(2)}{}$ D Common Stock 300 I By Spouse Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
2. Date Exercisable and
3. Title and Amount of
(Instr. 4)
4. 5. 6. Nature of Indirect
Securities Underlying
(Month/Day/Year)

Securities Underlying
Conversion
Ownership

#### Edgar Filing: Carbonite Inc - Form 3

			Derivative Security (Instr. 4)		or Exercise Price of	Form of Derivative	(Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	(3)	10/29/2023	Common Stock	100,000	\$ 15.2	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b></b>	Director	10% Owner	Officer	Other		
MEISELES BRADFORD						
C/O CARBONITE, INC.	â	â	SVP of Engineering	â		
2 AVENUE DE LAFAYETTE	А	A	A SVP of Engineering	А		
BOSTON, MA 02111						

## **Signatures**

/s/ Danielle Sheer, by power of attorney 11/17/2014

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects the grant of restricted stock units. The restricted stock units shall vest in 4 equal annual installments beginning on June 11, 2015 and will be settled on each applicable vest date in shares of the issuer's common stock.
- (2) Reflects the grant of restricted stock units. The restricted stock units shall vest in 4 equal annual installments beginning on October 28, 2015 and will be settled on each applicable vest date in shares of the issuer's common stock.
- (3) This option is currently exercisable as to 25,000 shares of common stock and becomes exercisable as to the remaining underlying shares of common stock in 15 quarterly installments beginning on January 28, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2