Edgar Filing: Insys Therapeutics, Inc. - Form 4

Insys Therapeutic Form 4 Insys Therapeutic Form 4 Insys Therapeutic Form 4 Insys Therapeutic Form 4 FORM 4 Instantant Sector 100 (MB) Instantant Sector 100 (MB) Instantant 2005 Check this box if no longer subject to Section 16. StattEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Securities Exchange Act of 1934, Instantant 2005 Form 4 or Form 5 obligations any continue. See Instruction 17(a) of the Public Utility Holding Company Act of 1935 or 500. Instantant 1000 (MB) Instantant 1000 (MB) Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1000 (MB) Instantant 2005 Instantant 2005 Filed pursuant to Section 16(a) of the Investment Company Act of 1935 or 500 (MB) Instantant 2005 Instantant 2005 Stimated Exchange Act of 1934, 1000 (MB) Instantant 1000 (MB) Instantant 1000 (MB) Instantant 1000 (MB) Stimated Exchange Act of 1934, 1000 (MB) Instantant 1000 (MB) Instantant 1000 (MB) Instantant 1000 (MB) Stimated Exchange Act of 1934, 1000 (MB) Instantant 1000 (MB) Instantant 1000 (MB) Instantant 1000 (MB) Stimated Exchange Act of 1934, 1000 (MB) Instantant 1000 (MB) Instantant 1000 (MB) Instantant 1000 (MB)											
(Print or Type	Responses)										
BAKER DARRYL S Symbol Issuer Insys Therapeutics, Inc. [INSY]						Issuer	Reporting Person(s) to				
(Last) (First) (Middle) 3.			3. Date of Earliest Transaction					(Check all applicable)			
444 SOUTH ELLIS STREET(Month/I 02/06/2								Director 10% Owner _X Officer (give title Other (specify below) below) Chief Financial Officer			
Filed(Month/Day/Year) Applicable Lin _X_ Form file						6. Individual or Joi Applicable Line) _X_ Form filed by O Form filed by Mo	ne Reporting Pe	rson			
(City)		Zip)	Tab	la I Non I	Dorivotivo	Soour		Person iired, Disposed of,	or Bonoficial	ly Ownod	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		ed Date, if	3. Transactic Code		ies Ac ed of (quired (A) D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	02/06/2015			Code V M	Amount 15,000	(D) A	Price \$ 2.36 (1)	(Instr. 3 and 4) 34,377 (2) (3)	D		
Common Stock	02/06/2015			М	6,248	А	\$ 7.26 (1)	40,625	D		
Common Stock	02/06/2015			S <u>(4)</u>	15,000	D	\$ 52.252 (5)	25,625	D		
Common Stock	02/06/2015			S <u>(4)</u>	6,248	D	\$ 52.252 (5)	19,377	D		
	02/09/2015			М	8,752	А		28,129	D		

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Common Stock					\$ 7.26		
Common Stock	02/09/2015	S <u>(4)</u>	8,752	D	\$ 52.184 (6)	19,377	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactiorDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 2.36 <u>(1)</u>	02/06/2015		М		15,000	(7)	12/26/2022	Common Stock	15,000
Stock Option (right to buy)	\$ 7.26 <u>(1)</u>	02/06/2015		М		6,248	<u>(9)</u>	05/13/2023	Common Stock	6,248
Stock Option (right to buy)	\$ 7.26 <u>(1)</u>	02/09/2015		М		8,752	<u>(9)</u>	05/13/2023	Common Stock	8,752

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director 10% Owner Officer		Officer	Other			
BAKER DARRYL S							
444 SOUTH ELLIS STREET CHANDLER, AZ 85224			Chief Financial Officer				

8 E S ()

Signatures

**Signature of

Reporting Person

/s/ Darryl S. Baker

02/10/2015

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The exercise price reflects a 3-for-2 stock split effected by the Issuer on March 28, 2014.
- (2) On March 28, 2014, the Issuer effected a 3-for-2 stock split, resulting in the reporting person's ownership of 10,000 additional shares of common stock.
- (3) Includes 4,127 shares and 563 shares, respectively, acquired pursuant to the Issuer's ESPP on June 11, 2014 and December 11, 2014, respectively.
- (4) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan

The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$52.0000 to \$52.97 per share, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate

(5) staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$52.0000 to \$52.70 per share, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the

- (6) staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (7) The option vests in 36 equal monthly installments measured from the grant date of December 27, 2012 and will be fully vested and exercisable on December 27, 2015.
- (8) On March 28, 2014, the Issuer effected a 3-for-2 stock split, resulting in the reporting person's ownership of 35,000 additonal options.
- (9) The option vests in 48 equal monthly installments and will be fully vested and exercisable on May 14, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.