Edgar Filing: CareTrust REIT, Inc. - Form 4

CareTrust R	EIT, Inc.											
Form 4	_											
June 02, 201												
FORM	14 UNITED S	STATES (SECUR	TTIFS A	ND FY(гнл	NGE (OMMISSION		PPROVAL		
		JAILS		shington,			NGE C		OMB Number:	3235-0287		
Check th if no long subject to Section 1	statem	box STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF								Expires: January 31 2005 Estimated average burden hours per		
Form 4 or Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Ex Section 17(a) of the Public Utility Holding Company 30(h) of the Investment Company Act					y Act of	response 0.5 change Act of 1934, Act of 1935 or Section						
(Print or Type I	Responses)											
1. Name and Address of Reporting Person <u>*</u> Sedgwick David M.			2. Issuer Name and Ticker or Trading Symbol					5. Relationship of Reporting Person(s) to Issuer				
(Lest)	CareTrust REIT, Inc. [CTRE]				(Check all applicable)							
(N			3. Date of Earliest Transaction (Month/Day/Year) 05/31/2015					Director 10% Owner X Officer (give title Other (specify below) below) Vice President of Operations				
	(Street)]		ndment, Da hh/Day/Year)	-	l		6. Individual or Jo Applicable Line) _X_ Form filed by O Form filed by M	one Reporting Pe	rson		
SAN CLEM	1ENTE, CA 92673	3						Person		porting		
(City)	(State) ((Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	ecurity (Month/Day/Year) Execution Date, if		Date, if	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)				
				Code V	Amount	(D)	Price	(Instr. 3 and 4)				
Common Stock	05/31/2015			М	5,485	А	<u>(1)</u>	56,071	D			
Common Stock	05/31/2015			F	1,816 (2)	D	\$ 13.04	54,255	D			
Common Stock	05/31/2015			F	1,603 (3)	D	\$ 13.04	52,652	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		Underlying Securities		8. Pr Deriv Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(1)</u>	05/31/2015		М	5,485	<u>(4)</u>	(4)	Common Stock	5,485	\$

Reporting Owners

Reporting Owner Name / Address	Relationships						
F	Director	10% Owner	Officer	Other			
Sedgwick David M. 905 CALLE AMANECER, SUITE 300 SAN CLEMENTE, CA 92673			Vice President of Operations				
Signatures							
/s/ William M. Wagner							

/s/ William M. Wagner, attorney-in-fact

06/02/2015

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of CTRE common stock.
- (2) Represents shares of common stock withheld by Issuer to pay tax withholding obligation of Reporting Person upon the vesting of restricted stock units granted to the Reporting Person on June 18, 2014.
- (3) Represents shares of common stock withheld by Issuer to pay tax withholding obligation of Reporting Person upon the vesting of restricted stock granted to the Reporting Person on December 17, 2014.
- (4) The restricted stock units were granted on June 18, 2014 and vested on May 31, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.