ELLIE MAE INC Form 4

December 04, 2015

Check this box

if no longer

subject to

Section 16.

Form 4 or

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB APPROVAL

OMB 3235-0287 Number:

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X Form filed by One Reporting Person Form filed by More than One Reporting

Ι

Person

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Washington, D.C. 20549

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Anderman Sigmund Issuer Symbol ELLIE MAE INC [ELLI] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) _X__ Director 10% Owner X_ Officer (give title Other (specify C/O ELLIE MAE, INC., 4420 12/02/2015 below) below) ROSEWOOD DRIVE. SUITE 500 Founder and Executive Chairman (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line)

PLEASANTON, CA 94588

Common

Stock

12/02/2015

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Indirect (I) Ownership (Month/Day/Year) (Instr. 8) Owned Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price Common 136,875 D Stock Common Sig GRAT I 100,000 Stock $2014 \stackrel{(1)}{=}$ Susan Common 100,000 Ι **GRAT** Stock $2014^{(2)}$ Common by 401(k) 10,000 I Stock Plan

V 7,500

\$0

57,337

D

by Trust

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Titl	le and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transac	ctionNumbe	r Expiration I	Date	Amou	int of	Derivative
Security	or Exercise		any	Code	of	(Month/Day	/Year)	Under	lying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8	3) Derivat	ive		Secur	ities	(Instr. 5)
	Derivative				Securit	ies		(Instr.	3 and 4)	
	Security				Acquir	ed				
					(A) or					
					Dispos	ed				
					of (D)					
					(Instr. 3	3,				
					4, and 3	5)				
									A 4	
									Amount	
						Date	Expiration	m: d	or	
						Exercisable	Date		Number	
				G 1	T. (A) (T				of	
				Code	V (A) (I))			Shares	

Reporting Owners

	Relationships			
Reporting Owner Name / Address	•			

Director 10% Owner Officer Other

Anderman Sigmund C/O ELLIE MAE, INC. 4420 ROSEWOOD DRIVE, SUITE 500 PLEASANTON, CA 94588

X

Founder and Executive Chairman

Signatures

/s/ Sigmund 12/04/2015 Anderman

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares are directly held by Sigmund Anderman TTEE Sigmund Anderman 2014 GRAT U/A DTD 12/12/2014.
- (2) The shares are directly held by Susan Anderman TTEE Susan Anderman 2014 GRAT U/A DTD 12/12/2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2

SEC 1474

(9-02)

Price of 9. Nurivative Derivative Security Secur

Bene Own Follo Repo Trans (Instr