## Edgar Filing: ADURO BIOTECH, INC. - Form 4

ADURO BIC	DTECH, INC.										
Form 4											
May 18, 2016	5										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION									OMB APPROVAL		
	UNITE	D STATES		ITIES A hington,			NGE (	COMMISSION	OMB Number:	3235-0287	
Check this	s box		v v us.		D.C. 200					January 31,	
if no long		EMENT O	F CHAN	GES IN I	BENEFI	CIA	LOW	NERSHIP OF	Expires:	2005	
subject to Section 10				SECUR					Estimated average burden hours per response 0.5		
Form 4 or											
Form 5	Filed p	oursuant to	Section 16	b(a) of the	e Securiti	es Ez	kchang	ge Act of 1934,			
obligation may conti		7(a) of the	Public Uti	ility Hold	ing Com	pany	Act o	f 1935 or Sectio	n		
See Instru		30(h)	of the Inv	vestment	Company	y Act	of 19	40			
1(b).											
(Print or Type R	lesponses)										
1 Name and A	ddress of Reporti	ing Person *	2 Iaguar	Nama and	Tielsen on '	Fradin	~	5. Relationship of	f Reporting Per	son(s) to	
Brockstedt D	*		Symbol	Issuer Name <b>and</b> Ticker or Trading				Issuer			
			-	BIOTEC	TH INC		ROI				
<b>(-</b> )					ŕ	ĮΛD	κοj	(Chec	ck all applicable	e)	
(Last)	(First)	(Middle)		3. Date of Earliest Transaction				Dimeter	100	0	
C/O ADURO	O BIOTECH,	INC 626	(Month/Da 05/16/20	-				Director X Officer (give		6 Owner er (specify	
BANCROFT		11(C., 020	03/10/20	/10				below)	below)	5	
Driftentor								Sr. VP of	f Research and	Dev.	
				ndment, Da	-			6. Individual or Joint/Group Filing(Check			
			Filed(Mont	h/Day/Year)				Applicable Line)	One Deporting D		
DEDKELEV	CA 04710							_X_ Form filed by Form filed by M	More than One R		
BERKELEY	, CA 94/10							Person			
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securi	ties Ac	quired, Disposed o	f, or Beneficia	lly Owned	
1.Title of	2. Transaction	Date 2A. Dec	emed	3.	4. Securi	ties		5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Ye		on Date, if		onAcquired		or		Form: Direct	Indirect	
(Instr. 3)		any	Code Disposed of (D)					Beneficially		Beneficial	
		(Month	Day/Year) (Instr. 8) (Instr. 3, 4 and 5)				5)	Owned Following	Indirect (I) (Instr. 4)		
								Reported	(IIIsu: 4)	(1150.4)	
						(A)		Transaction(s)			
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
C							\$				
Common	05/16/2016			S(1)	905	D	8.2	12,000 <u>(3)</u>	D		
Stock							(2)				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			Amou Unde Secur	le and unt of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships							
	Director	10% Owner	Officer	Other				
Brockstedt Dirk G. C/O ADURO BIOTECH, INC. 626 BANCROFT WAY, 3C BERKELEY, CA 94710			Sr. VP of Research and Dev.					
Signatures								
/s/ Jennifer Lew, Attorney-in-Fact	05	5/18/2016						

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The sales reported in this Form 4 were affected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on September 13, (1) 2015.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$8.16 to \$8.24, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the

- (2) Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.
- (3) Includes 905 shares acquired by the Reporting Person under the Issuer's 2015 Employee Stock Purchase Plan on May 13, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.