### Edgar Filing: WisdomTree Investments, Inc. - Form 4/A

WisdomTree Investments, Inc.

Form 4/A January 31, 20	017										
FORM	Δ									PPROVAL	
Washington, D.C. 20549									OMB Number:	3235-0287	
Check this if no longer	-									January 31, 2005	
subject to Section 16. Form 4 or		STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES									
Form 4 or Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								n			
(Print or Type Rea	sponses)										
			2. Issuer Name <b>and</b> Ticker or Trading Symbol					5. Relationship of Reporting Person(s) to Issuer			
		WisdomTree Investments, Inc. [WETF]					(Check all applicable)				
(Mor				3. Date of Earliest Transaction Month/Day/Year) )1/27/2017				Director10% Owner XOfficer (give titleOther (specify below)below)			
	NTS, INC., 245 I	PARK	01/2//20	,,,,				Chie	f Legal Officer		
				ndment, Date Original th/Day/Year) )17				<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> </ul>			
NEW YORK,						Form filed by More than One Reporting Person					
(City)	(State) (	Zip)	Table	I - Non-D	erivative S	ecurit	ies Acq	uired, Disposed of	f, or Beneficial	ly Owned	
	any		n Date, if	3.4. Securities AcquiredTransaction(A) or Disposed ofCode(D)(Instr. 8)(Instr. 3, 4 and 5)			Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
				Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Common Stock	01/27/2017			F	11,029 (1)	D	\$0	869,305 <u>(2)</u>	D		
Common Stock	01/28/2017			F	5,201 (1)	D	\$0	864,104 <u>(3)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact: Code (Instr. 8)	5. iorNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)		9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	. ,	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Ziemba Peter M C/O WISDOMTREE INVESTMENTS, INC. 245 PARK AVENUE, 35TH FLOOR NEW YORK, NY 10167			Chief Legal Officer					
Signatures								
/s/ Marci Frankenthaler, Attorney-in-Fact	01/31/20	17						
**Signature of Reporting Person	Date							
Evalenation of Deenenage	<b>.</b> .							

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Surrender of common stock to Issuer upon vesting of restricted stock award to cover withholding taxes.
- Includes restricted stock awards which vest as to (i) 14,068 shares on January 28, 2017, (ii) 14,072 shares on January 28, 2018, (iii)
  29,976 shares on January 27, 2018, (iv) 29,977 shares on January 27, 2019, (v) 3,847 shares on each of January 25, 2018 and 2019 and (vi) 3,848 shares on January 25, 2020.
- (3) Includes restricted stock awards which vest as to (i) 14,072 shares on January 28, 2018, (ii) 29,976 shares on January 27, 2018, (iii) 29,977 shares on January 27, 2019, (iv) 3,847 shares on each of January 25, 2018 and 2019 and (v) 3,848 shares on January 25, 2020.

#### **Remarks:**

This amendment is being filed to correct (i) the number of shares of common stock surrendered to Issuer reflected in Column

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.