Edgar Filing: Rees Andrew - Form 4

Rees Andrew Form 4 March 12, 2018UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIESFiled pursuant to Section 16(a) of the Securities Exchange Act of 1934, of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 30(h) of the Investment Company Act of 1940								OMB Number: Expires: Estimated a burden hour response			
(Print or Type	Responses)										
1. Name and Address of Reporting Person <u>*</u> Rees Andrew			2. Issuer Name and Ticker or Trading Symbol Crocs, Inc. [CROX]					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (M	Aiddle)	3. Date of Earliest Transaction (C					(Check	k all applicable)		
C/O CROCS, INC., 7477 E DRY CREEK PARKWAY			(Month/Day/Year) 03/08/2018					Director 10% Owner X Officer (give title Other (specify below) Chief Executive Officer			
				4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(CheckApplicable Line)_X_ Form filed by One Reporting Person			
NIWOT, CO 80503							Form filed by More than One Reporting Person				
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative Se	curiti	es Acqu	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any		Code	4. Securitie: n(A) or Disp (Instr. 3, 4 a Amount	osed c	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	03/08/2018			А	260,382 (1)	A	\$0	906,569 <u>(2)</u>	D		
Common Stock					<u> </u>			217,215	I	By Trust (3)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Rees Andrew C/O CROCS, INC. 7477 E DRY CREEK PARKWAY NIWOT, CO 80503			Chief Executive Officer				
Signatures							
/s/ Dan Hart							

Date

Attorney-in-Fact 03/12/2018

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents 260,382 restricted stock units granted to the reporting person under the issuer's 2015 Equity Incentive Plan. Each restricted stock unit represents the contingent right to receive one share of the issuer's common stock. The restricted stock units vest as follows: (i)

- (1) 51,452 of the restricted stock units vest in three equal annual installments on March 8, 2019, March 8, 2020 and March 8, 2021, provided that the reporting person remains employed by the issuer as of each vesting date, and (ii) up to 208,930 of the restricted stock units vest in three equal annual installments beginning on the date in 2019 that the issuer's compensation committee certifies that certain performance metrics are achieved, provided that the reporting person remains employed by the issuer as of each vesting date.
- (2) Includes 65,427 shares previously reported as held directly by the reporting person, which are currently held directly by the V&M REES REV. TRUST.
- (3) The reporting person is a trustee of the V&M REES REV. TRUST and exercises voting and investment power for the shares beneficially owned by the trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.