KNITTEL RUSSELL J

Form 4 May 14, 2018

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

January 31, Expires: 2005

burden hours per 0.5

Estimated average response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * KNITTEL RUSSELL J |          |          | 2. Issuer Name and Ticker or Trading Symbol SYNAPTICS Inc [SYNA] | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)                          |  |  |  |
|---|----------|----------|--|---|--|--|--|
| (Last)  | (First)  | (Middle) | 3. Date of Earliest Transaction                                  | (Check an applicable)   |  |  |  |
| 1251 MCKAY  | ORIVE    |          | (Month/Day/Year)<br>05/11/2018                                   | X Director 10% Owner Officer (give title below) Other (specify below)                             |  |  |  |
|   | (Street) |          | 4. If Amendment, Date Original Filed(Month/Day/Year)             | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person |  |  |  |
| SAN JOSE, C   | A 95131  |          |  | Form filed by More than One Reporting Person  |  |  |  |

| (City)                               | (State)                                 | (Zip) Tab   | le I - Non-l | Derivative  | Secur | ities Acqui         | red, Disposed of  | , or Beneficial  | ly Owned  |
|--------------------------------------|---|---|--------------|---|-------|---------------------|-------------------|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | ion Date 2A. Deemed y/Year) Execution Date, if any (Month/Day/Year) |              | 3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or Code V Amount (D) Price |       |                     |                   | 5. Amount of Securities Ownership Beneficially Form: Owned Direct (D) Following or Indirect Reported (I) Transaction(s) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 05/11/2018                              |   | M            | 15,000  | A     | \$ 29.38            | 17,688            | D  |   |
| Common<br>Stock                      | 05/11/2018                              |   | S <u>(1)</u> | 15,000  | D     | \$<br>43.448<br>(2) | 2,688             | D  |   |
| Common<br>Stock                      |   |   |              |   |       |                     | 19,577 <u>(3)</u> | I  | By Trust  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | Derivative Expiration Date Securities (Month/Day/Year) Acquired (A) or Disposed of (D) (Instr. 3, 4, |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                     |
|---|---|--------------------------------------|---|---|---|--|--------------------|---|-------------------------------------|
|   |   |                                      |   | Code V                                  | (A) (D)   | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 29.38  | 05/11/2018                           |   | M                                       | 15,000  | <u>(5)</u>   | 05/19/2018         | Common<br>Stock   | 15,000                              |

## **Reporting Owners**

| Reporting Owner Name / Address                              | Relationships |           |         |       |  |  |  |
|---|---------------|-----------|---------|-------|--|--|--|
| • 0   | Director      | 10% Owner | Officer | Other |  |  |  |
| KNITTEL RUSSELL J<br>1251 MCKAY DRIVE<br>SAN JOSE, CA 95131 | X             |           |         |       |  |  |  |

## **Signatures**

Kermit Nolan, as attorney-in-fact

05/14/2018

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares were sold pursuant to a 10b5-1 Trading Plan dated August 15, 2017.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$43.35 to \$44.32, inclusive. The reporting person undertakes to provide to Synaptics Incorporated (the "Company"), any security holder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at
- each separate price within the range set forth in this footnote.

(3) Includes 2,690 shares previously reported as being held directly.

- (4) The shares are held by Russell J. Knittel and Veronica Knittel as Co-Trustees of The Knittel Revocable Living Trust.
- (5) 1/24th of the total number of shares subject to the option vested and became exercisable on the last day of each month until fully vested on April 30, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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