Johnson Gary Alan Form 3 October 03, 2018

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement FORD MOTOR CO [F] À Johnson Gary Alan (Month/Day/Year) 10/01/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) ONE AMERICAN ROAD (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person DEARBORN, MIÂ 48126 (give title below) (specify below) Form filed by More than One Group Vice President Reporting Person (City) (State) (Zip) **Table I - Non-Derivative Securities Beneficially Owned** 1. Title of Security 2. Amount of Securities 4. Nature of Indirect Beneficial Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock, \$0.01 par value 75,196 Common Stock, \$0.01 par value 3 I By Company Plan Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

3. Title and Amount of 1. Title of Derivative Security 2. Date Exercisable and 5. 6. Nature of Indirect (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Beneficial Ownership (Month/Day/Year) **Derivative Security** or Exercise Form of (Instr. 5) (Instr. 4) Price of Derivative Derivative Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	(1)	03/02/2020	Common Stock, \$0.01 par value	14,161	\$ 12.69	D	Â
Employee Stock Option (Right to Buy)	(2)	03/02/2021	Common Stock, \$0.01 par value	9,734	\$ 14.76	D	Â
Employee Stock Option (Right to Buy)	(3)	03/04/2022	Common Stock, \$0.01 par value	14,579	\$ 12.46	D	Â
Employee Stock Option (Right to Buy)	(4)	03/03/2023	Common Stock, \$0.01 par value	24,850	\$ 12.75	D	Â
Employee Stock Option (Right to Buy)	(5)	03/03/2024	Common Stock, \$0.01 par value	24,959	\$ 15.37	D	Â
Ford Stock Units	(6)	(6)	Common Stock, \$0.01 par value	4,834	\$ <u>(6)</u>	D	Â
Ford Stock Units	(7)	(7)	Common Stock, \$0.01 par value	10,188	\$ <u>(7)</u>	D	Â
Ford Stock Units	(8)	(8)	Common Stock, \$0.01 par value	26,153	\$ (8)	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b>r</b>	Director	10% Owner	Officer	Other		
Johnson Gary Alan ONE AMERICAN ROAD DEARBORN Â MIÂ 48126	Â	Â	Group Vice President	Â		

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#### **Signatures**

Jerome F. Zaremba, Attorney-in-Fact

10/03/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/02/2010), 66% after two years, and in full after three years.
- (2) This option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/03/2011), 66% after two years, and in full after three years.
- (3) This option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/05/2012), 66% after two years, and in full after three years.
- (4) This option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/04/2013), 66% after two years, and in full after three years.
- (5) This option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/04/2014), 66% after two years, and in full after three years.
- (6) These Ford Restricted Stock Units were acquired under the Company's Long-Term Incentive Plan without payment by me. These Ford Restricted Stock Units will be converted and distributed to me, without payment, in shares of Common Stock on March 3, 2019.
- These Ford Restricted Stock Units were acquired under the Company's Long-Term Incentive Plan without payment by me. These Ford (7) Restricted Stock Units will be converted and distributed to me, without payment, to the extent of 5,018 shares Common Stock on March 2, 2019 and 5,170 shares of Common Stock on March 2, 2020.
- These Ford Restricted Stock Units were acquired under the Company's Long-Term Incentive Plan without payment by me. These Ford (8) Restricted Stock Units will be converted and distributed to me, without payment, in shares of Common Stock to the extent of 33% after one year from the date of grant (03/02/2018), 66% after two years, and in full after three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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