#### Edgar Filing: ALLENDER PATRICK W - Form 4/A

#### ALLENDER PATRICK W

Form 4/A October 09, 2018

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Estimated average

burden hours per

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, 2005

0.5

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Colfax CORP [CFX]

Symbol

**SECURITIES** 

response...

5. Relationship of Reporting Person(s) to

Issuer

Expires:

*See* Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

ALLENDER PATRICK W

		Collax	Contax Cold [Cl A]			(Check all applicable)				
(Last) (First) (Middle)  2099 PENNSYLVANIA AVE. NW, 12TH FLOOR		(Month/I	3. Date of Earliest Transaction (Month/Day/Year) 09/28/2018				_X_ Director 10% Owner Officer (give title below) Other (specify below)			
(Street) 4. If Am			Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
	(Month/Day/Year) 2/2018				Applicable Line) _X_ Form filed by One Reporting Person					
WASHING	2018				Form filed by More than One Reporting Person					
(City)	(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	onAcquired Disposed	Securities equired (A) or esposed of (D) estr. 3, 4 and 5)  (A) or enount (D) Price		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock, par value \$.001	09/28/2018		A	659 <u>(1)</u>	A	\$0	53,721 (1)	D		
Common Stock, par value \$.001							20,000	I	By Trust	
Common Stock, par value \$.001							62,973	I (2)	By JWA GRAT #3	
Common Stock, par							80,640	I (2)	By JWA GRAT #4	

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value \$.001

Common

By JWA Stock, par 55,646 I (2) 2014 Trust value \$.001

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control

> 9. Nu Deriv Secu Bene Own Follo Repo Trans (Insti

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	5. tionNumber of ) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	5	ate	7. Titi Amou Under Secur (Instr	int of rlying	8. Price of Derivative Security (Instr. 5)
				Code V	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

ALLENDER PATRICK W 2099 PENNSYLVANIA AVE. NW 12TH FLOOR WASHINGTON, DC 20006

X

### **Signatures**

/s/ A. Lynne Puckett, 10/09/2018 Attorney-in-Fact

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Due to an administrative error, the Form 4 initially filed on October 2, 2018 inadvertently reported an incorrect number of Director Stock Units (DSUs) acquired under our Director Deferred Compensation Plan. The number of DSUs reflected as acquired in the original filing

Reporting Owners 2

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was overreported by 24. This amendment is being filed to reflect the correct grant amount.

The reporting person disclaims beneficial ownership of these securities, except to the extent of his pecuniary interest therein, and this report shall not be deemed an admission that the reporting person is the beneficial owner of the securities for the purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.