Schwartz Hagi Form 4 October 09, 2018

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* Schwartz Hagi

(Middle)

C/O MIMECAST NORTH AMERICA, INC., 191 SPRING **STREET** 

(Street)

(First)

2. Issuer Name and Ticker or Trading Symbol

(Month/Day/Year)

10/04/2018

Mimecast Ltd [MIME]

3. Date of Earliest Transaction

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

(Check all applicable)

10% Owner

\_ Other (specify

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

Applicable Line)

\_X\_\_ Director

Officer (give title

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Issuer

| LEXINGTON, | MA | 02421 |
|------------|----|-------|
|------------|----|-------|

| (City)                               | (State) (Z                              | Zip) Table  | I - Non-De                              | erivative S  | ecuri     | ties Ac | quired, Disposed   | of, or Beneficia | lly Owned   |
|--------------------------------------|---|---|---|--|-----------|---------|--|------------------|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities onAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) |           |         | 5. Amount of Securities Form: Direct Beneficially (D) or Owned Indirect (I) Following (Instr. 4) Reported Transaction(s) |                  | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|                                      |   |   | Code V                                  | Amount   | or<br>(D) | Price   | (Instr. 3 and 4)   |                  |   |
| Restricted Share Units (1)           | 10/04/2018                              |   | A                                       | 4,221<br>(2)   | A         | \$0     | 4,221 (2)  | D                |   |
| Ordinary<br>Shares                   |   |   |   |  |           |         | 2,082  | D                |   |
| Ordinary<br>Shares                   |   |   |   |  |           |         | 24,809   | I                | By<br>Schwartz<br>Family<br>Trust (3)                 |
| Restricted                           |   |   |   |  |           |         | 1,404 (4)  | D                |   |

#### **Share Units**

(1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |                     | ate                | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) | of<br>ring<br>es | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|--------------------------------------|---|---|---------------------|--------------------|---|------------------|---|---|
|   |   |                                      | Code V                                  | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title N   | lumber           |   |   |

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Schwartz Hagi C/O MIMECAST NORTH AMERICA, INC. 191 SPRING STREET LEXINGTON, MA 02421

X

## **Signatures**

/s/ Robert P. Nault, Attorney-in-Fact

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted share unit represents a contingent right to receive one share of the Issuer's ordinary shares.
- These restricted share units were granted on October 4, 2018, and vest in full on the one year anniversary of the date of grant, provided that the Reporting Person remains a director of the Issuer on such vesting date.

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- (3) These shares are held by the Schwartz Family Trust (the "Trust"). The Reporting Person is a beneficiary of the shares held by the Trust and is the sole Trustee of the Trust.
- Represents shares underlying unvested restricted share units that were granted on November 19, 2015. The terms of the original grant of 25,000 restricted share units provide that the units vest monthly, over a three year period, in substantially equal amounts, provided that the Reporting Person remains a director of the Issuer on such vesting date.

#### **Remarks:**

Exhibit 24 Power of Attorney (incorporated by reference to Power of Attorney filed as Exhibit 24 to Form 3 filed by the Repo Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.