

KLEIN CHRISTOPHER J
Form 4
February 22, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
KLEIN CHRISTOPHER J

2. Issuer Name and Ticker or Trading Symbol
Fortune Brands Home & Security, Inc. [FBHS]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
520 LAKE COOK ROAD
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
02/21/2019

Director 10% Owner
 Officer (give title below) Other (specify below)
Chief Executive Officer

DEERFIELD, IL 60015

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) | | | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|------------------------|---|---------------------------------------|
| | | | Code | V | Amount | (D) | Price | | | |
| Common Stock, Par Value \$0.01 | 02/14/2019 | | G ⁽¹⁾ | V | 20,000 | D | \$ 0 | 419,217 ⁽²⁾ | D | |
| Common Stock, Par Value \$0.01 | 02/21/2019 | | A ⁽³⁾ | | 33,480 | A | \$ 0 | 452,697 ⁽⁴⁾ | D | |
| Common Stock, Par Value \$0.01 | 02/14/2019 | | G ⁽¹⁾ | V | 20,000 | A | \$ 0 | 63,400 | I | By trusts held for the benefit of Mr. |

Klein's heirs

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
| | | | | Code | V (A) (D) | Date Exercisable Expiration Date | Title Amount or Number of Shares |
| Options (Right to Buy) | \$ 47.99 | 02/21/2019 | | A ⁽⁵⁾ | 140,474 | ⁽⁶⁾ 02/21/2029 | Common Stock 140,474 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| KLEIN CHRISTOPHER J 520 LAKE COOK ROAD DEERFIELD, IL 60015 | X | | Chief Executive Officer | |

Signatures

/s/ Angela M. Pla, Attorney-in-Fact for Christopher J. Klein

02/22/2019

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Reflects the gift of shares made by Mr. Klein to trusts held for the benefit of his heirs.

(2) Includes a total of 63,557 restricted stock units that have not yet vested.

Reflects the grant of restricted stock units awarded to the reporting person that vest in three equal annual installments, subject to

(3) continued employment through such vesting dates. Each restricted stock unit represents a contingent right to receive one share of the issuer's common stock.

(4) Includes a total of 97,037 restricted stock units that have not yet vested.

Edgar Filing: KLEIN CHRISTOPHER J - Form 4

- (5) Reflects the grant of options under the issuer's Long-Term Incentive Plan.
- (6) The options vest in three equal annual installments beginning on February 28, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.