

Faulk John H  
Form 3  
April 03, 2019

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |          |                                      |  |  |
|---|----------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * |          | 2. Date of Event Requiring Statement | 3. Issuer Name <b>and</b> Ticker or Trading Symbol                         |  |
| Â Faulk John H                            |          | (Month/Day/Year)                     | SERVICE CORP INTERNATIONAL [SCI]   |  |
| (Last)                                    | (First)  | (Middle)                             | 4. Relationship of Reporting Person(s) to Issuer                           | 5. If Amendment, Date Original Filed(Month/Day/Year)                   |
|   |          |                                      |  |  |
| 1929 ALLEN PARKWAY                        |          |                                      | (Check all applicable)   | 6. Individual or Joint/Group Filing(Check Applicable Line)             |
|   | (Street) |                                      |  | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| HOUSTON,Â TXÂ 77019                       |          |                                      | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner       | <input type="checkbox"/> Form filed by More than One Reporting Person  |
| (City)                                    | (State)  | (Zip)                                | <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other |  |
|   |          |                                      | (give title below) (specify below)   |  |
|   |          |                                      | Senior Vice President  |  |

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock                    | 41,718  | D  | Â   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|--|--|---|
|  | Date Exercisable   | Expiration Date   | Title  | Amount or Number of  |   |

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|   |                           |            |                 | Shares |           | (I)<br>(Instr. 5) |   |
|---|---------------------------|------------|-----------------|--------|-----------|-------------------|---|
| Employee Stock Option<br>(right to buy) | 02/11/2017 <sup>(1)</sup> | 02/11/2022 | Common<br>Stock | 30,700 | \$ 17.405 | D                 | Â |
| Employee Stock Option<br>(right to buy) | 02/10/2018 <sup>(2)</sup> | 02/10/2023 | Common<br>Stock | 27,900 | \$ 23     | D                 | Â |
| Employee Stock Option<br>(right to buy) | 05/11/2019 <sup>(3)</sup> | 02/09/2024 | Common<br>Stock | 40,100 | \$ 22.28  | D                 | Â |
| Employee Stock Option<br>(right to buy) | 02/07/2020 <sup>(4)</sup> | 02/07/2025 | Common<br>Stock | 35,700 | \$ 29.25  | D                 | Â |
| Employee Stock Option<br>(right to buy) | 02/13/2021 <sup>(5)</sup> | 02/13/2026 | Common<br>Stock | 29,100 | \$ 37.53  | D                 | Â |
| Employee Stock Option<br>(right to buy) | 02/20/2022 <sup>(6)</sup> | 02/20/2027 | Common<br>Stock | 24,600 | \$ 42.63  | D                 | Â |

## Reporting Owners

| Reporting Owner Name / Address                          | Relationships |           |                         |       |
|---|---------------|-----------|-------------------------|-------|
|   | Director      | 10% Owner | Officer                 | Other |
| Faulk John H<br>1929 ALLEN PARKWAY<br>HOUSTON, TX 77019 | Â             | Â         | Â Senior Vice President | Â     |

## Signatures

Lori Spilde, Attorney-in-Fact for John H. Faulk 04/03/2019

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These stock options were granted on 02/11/2014 and vested one-third on 02/11/2015, one-third on 02/11/2016, and one-third on 02/11/2017.
- (2) These stock options were granted on 02/10/2015 and vested one-third on 02/10/2016, one-third on 02/10/2017, and one-third on 02/10/2018.
- (3) These stock options were granted on 05/11/2016 and vested one-third on 02/09/2017, one-third on 02/09/2018, and one-third on 02/09/2019.
- (4) These stock options were granted on 02/07/2017 and vested one-third on 02/07/2018 and one-third on 02/07/2019. These stock options are scheduled to vest one-third on 02/07/2020.
- (5) These stock options were granted on 02/13/2018 and vested one-third on 02/13/2019. These stock options are scheduled to vest one-third on 02/13/2020 and one-third on 02/13/2021.
- (6) These stock options were granted on 02/20/2019 and are scheduled to vest one-third on 02/20/2020, one-third on 02/20/2021, and one-third on 02/20/2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.