

Burns Stephen S.
Form 4
April 30, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Burns Stephen S.

(Last) (First) (Middle)

C/O WORKHORSE GROUP
INC., 100 COMMERCE DRIVE

(Street)

LOVELAND, OH 45140

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
Workhorse Group Inc. [WKHS]

3. Date of Earliest Transaction
(Month/Day/Year)
04/26/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
CEO

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(D)	Price
COMMON STOCK, \$0.001 PAR VALUE					50,000	I	Deborah Sue Burns
COMMON STOCK, \$0.001 PAR VALUE	04/26/2018		P		18,382	A	\$ 2.72
					767,337	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. P	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options <u>(3)</u>	\$ 5.28					06/30/2017	05/19/2027	Common Stock, Par Value \$0.001	62,500 <u>(5)</u>
Stock Options <u>(3)</u>	\$ 7.21					08/16/2016	08/16/2021	Common Stock, Par Value \$0.001	35,000 <u>(3)</u>
Stock Options <u>(2)</u>	\$ 7.21					08/16/2016	08/16/2021	Common Stock, Par Value \$0.001	10,000 <u>(2)</u>
Stock Options <u>(1)</u>	\$ 4.99					02/03/2016	02/03/2021	Common Stock, Par Value \$0.001	40,000 <u>(1)</u>
Stock Options	\$ 1.75					08/03/2015	08/03/2020	Common Stock	50,000
Stock Options	\$ 1.4					12/19/2014	12/18/2019	Common Stock	50,000
Stock Options	\$ 0.1					07/01/2014	06/30/2019	Common Stock	281,439
Stock Options	\$ 6					05/25/2011	05/25/2018	Common Stock	50,000
Stock Options	\$ 1.1					12/04/2010	12/04/2018	Common Stock	30,000
Stock	\$ 7.2					12/08/2010	12/08/2020	Common	30,000

