

Strazik Scott
Form 3
January 08, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
Â Strazik Scott		(Month/Day/Year)	GENERAL ELECTRIC CO [GE]	
(Last)	(First)	(Middle)	01/01/2019	
41 FARNSWORTH STREET			4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
(Street)			(Check all applicable)	
BOSTON,Â MAÂ 02210			☐ Director ☐ 10% Owner ☒ Officer ☐ Other (give title below) (specify below) Senior Vice President	6. Individual or Joint/Group Filing(Check Applicable Line) ☒ Form filed by One Reporting Person ☐ Form filed by More than One Reporting Person
(City)	(State)	(Zip)		

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	29,969	D	Â
Common Stock	60,550	I	401(k)
Common Stock	11,662	I	Spouse's 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security	4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership
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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Restricted Stock Units	Â (2)	Â (2)	Common Stock	3,000	\$ (1)	D	Â
Restricted Stock Units	Â (3)	Â (3)	Common Stock	10,000	\$ (1)	D	Â
Restricted Stock Units	Â (4)	Â (4)	Common Stock	30,000	\$ (1)	D	Â
Restricted Stock Units	Â (5)	Â (5)	Common Stock	20,000	\$ (1)	D	Â
Employee Stock Option (right to buy) (6)	06/09/2012	06/09/2021	Common Stock	30,000	\$ 18.58	D	Â
Employee Stock Option (right to buy) (6)	09/07/2013	09/07/2022	Common Stock	40,000	\$ 21.59	D	Â
Employee Stock Option (right to buy) (6)	09/13/2014	09/13/2023	Common Stock	50,000	\$ 23.78	D	Â
Employee Stock Option (right to buy) (6)	09/05/2015	09/05/2024	Common Stock	75,000	\$ 26.1	D	Â
Employee Stock Option (right to buy) (6)	09/11/2016	09/11/2025	Common Stock	90,000	\$ 24.95	D	Â
Employee Stock Option (right to buy) (6)	09/30/2017	09/30/2026	Common Stock	110,000	\$ 29.62	D	Â
Employee Stock Option (right to buy) (6)	11/17/2018	11/17/2027	Common Stock	115,000	\$ 18.21	D	Â
Employee Stock Option (right to buy) (7)	01/29/2021	01/29/2028	Common Stock	125,000	\$ 16.28	D	Â
Employee Stock Option (right to buy) (8)	03/19/2019	03/19/2028	Common Stock	325,025	\$ 14.07	D	Â
Employee Stock Option (right to buy) (9)	12/21/2019	12/21/2028	Common Stock	500,000	\$ 7.15	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Strazik Scott 41 FARNSWORTH STREET BOSTON, MA 02210	Â	Â	Â Senior Vice President	Â

Signatures

Julia Chen on behalf of Scott
Strazik

01/08/2019

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 1-for-1
- (2) 15,000 units granted on 7/24/2014; 3,000 units vested on 7/24/2015; 3,000 units vested on 7/24/2016; 3,000 units vested on 7/24/2017; 3,000 units vested on 7/24/2018; and 3,000 units are scheduled to vest on 7/24/2019.
- (3) 25,000 units granted on 9/11/2015; 5,000 units vested on 9/11/2016; 5,000 units vested on 9/11/2017; 5,000 units vested on 9/11/2018; 5,000 units are scheduled to vest on 9/11/2019; and 5,000 units are scheduled to vest on 9/11/2020.
- (4) 50,000 units granted on 7/28/2016; 10,000 units vested on 7/28/2017; 10,000 units vested on 7/28/2018; 10,000 units are scheduled to vest on 7/28/2019; 10,000 units are scheduled to vest on 7/28/2020; and 10,000 units are scheduled to vest on 7/28/2021.
- (5) 25,000 units granted on 2/10/2017; 5,000 units vested on 2/10/2018; 5,000 units are scheduled to vest on 2/10/2019; 5,000 units are scheduled to vest on 2/10/2020; 5,000 units are scheduled to vest on 2/10/2021; and 5,000 units are scheduled to vest on 2/10/2022.
- (6) The options become exercisable in five equal installments of 20% each beginning on the "Date Exercisable" shown to the right, and another 20% become exercisable each year thereafter.
- (7) The options become exercisable in one installment on 1/29/2021.
- (8) The options become exercisable in three installments, with 108,341 options exercisable on 3/19/2019, and another 108,342 options exercisable on each of 3/19/2020 and 3/19/2021.
- (9) The options become exercisable in two equal installments of 50% each on 12/21/2020 and 12/21/2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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