

DUANE FRANCIS K

Form 4

April 05, 2018

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
DUANE FRANCIS K

(Last) (First) (Middle)

C/O 200 MADISON
AVENUE, 18TH FLOOR

(Street)

NEW YORK, NY 10016

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
PVH CORP. /DE/ [PVH]

3. Date of Earliest Transaction
(Month/Day/Year)
04/03/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
__X__ Officer (give title ____ Other (specify
below) below)
CEO, Heritage Brands & VC, PVH

6. Individual or Joint/Group Filing(Check
Applicable Line)
__X__ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, \$1 par value	04/03/2018		F	615 ⁽¹⁾ D \$ 155.16	15,985 ⁽³⁾	D	
Common Stock, \$1 par value	04/04/2018		M	14,200 A \$ 115.05	30,185 ⁽²⁾	D	
Common Stock, \$1 par value	04/04/2018		M	3,800 A \$ 107.47	33,985 ⁽²⁾	D	
Common Stock, \$1	04/04/2018		M	4,100 A \$ 99.39	38,085 ⁽²⁾	D	

par value

Common					\$		
Stock, \$1	04/04/2018		S	22,100	D	154.7726	15,985 ⁽²⁾
par value						⁽³⁾	D

Common							By
Stock, \$1						1,771.9717	I 401(k)
par value							Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title
Option (Right to Buy)	\$ 115.05	04/04/2018		M	14,200	⁽⁴⁾	05/01/2023	Common Stock, \$1 par value. 14,200
Option (Right to Buy)	\$ 107.47	04/04/2018		M	3,800	⁽⁵⁾	04/02/2025	Common Stock, \$1 par value. 3,800
Option (Right to Buy)	\$ 99.39	04/04/2018		M	4,100	⁽⁶⁾	04/01/2026	Common Stock, \$1 par value. 4,100

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
DUANE FRANCIS K C/O 200 MADISON AVENUE 18TH FLOOR NEW YORK, NY 10016	CEO, Heritage Brands & VC, PVH

Signatures

Francis K.
Duane

04/05/2018

 Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld to satisfy the Reporting Person's tax obligations in connection with the vesting of 1,808 restricted stock units. The restricted stock units were reported as directly owned shares at the time they were granted.
- (2) Includes 10,764 shares of Common Stock subject to awards of restricted stock units.
The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$154.36 to \$155.01, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.
- (3) This was a grant of 14,200 options, 3,550 of which became exercisable on each of 5/1/14, 5/1/15, 5/1/16 and 5/1/17.
- (5) This was a grant of 15,200 options, 3,800 of which became exercisable on each of 4/2/16 and 4/2/17, and 4/2/18 and a further 3,800 will become exercisable on 4/2/19.
- (6) This was a grant of 16,400 options, 4,100 of which became exercisable on each of 4/1/17, and 4/1/18 and 4,100 of which will become exercisable on each of 4/1/19 and 4/1/20.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.