Edgar Filing: DUANE FRANCIS K - Form 4

DUANE FRA	ANCIS K										
Form 4	0										
April 10, 201											
								OMB	3235-0287		
Check this box Washington, D.C. 20549							Number:	January 31,			
if no long subject to		ATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF								2005	
Section 10 Form 4 or	6.	SECURITIES							Estimated a burden hou	rs per	
Form 4 or Form 5 obligations may continue.response0.5Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 19400.5											
(Print or Type R	Responses)										
DUANE FRANCIS K Symb			Symbol	er Name an ORP. /DI		Tradii	-8	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (N	Middle)		f Earliest T				(Check	all applicable	2)	
~ /	× / ×	,		Day/Year)	141104001011		-	Director 10% Owner			
C/O 200 MA			04/06/2	2018			Ī	_X_ Officer (give to below)	title Othe below)	er (specify	
AVENUE, I	8TH FLOOR							CEO, Heritag	e Brands & V	C, PVH	
(Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line)							rson				
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative	Secur	ities Acqu	ired, Disposed of,	or Beneficial	ly Owned	
	2. Transaction Date (Month/Day/Year)	Execution any	Date, if	Code (Instr. 8)	omr Dispos (Instr. 3, 4	ed of (5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock, \$1 par value	04/06/2018			А	21,057 (3)	A	\$ 0	37,042 <u>(2)</u>	D		
Common Stock, \$1 par value	04/07/2018			F	662 <u>(1)</u>	D	\$ 156.73	36,380 <u>(4)</u>	D		
Common Stock, \$1 par value								1,771.9717	I	By 401(k) Plan	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title o	f 2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Tit	le and	8. Price of	9. Nu
Derivativ	ve Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration D	ate	Amou	int of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Secur	ities	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	. 3 and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
									or		
						Date	Expiration	Title	Number		
						Exercisable	Date		of		
				Code V	(A) (D)				Shares		
				2.2.2.0	() (2)						

Reporting Owners

Reporting Owner Name / Address	Relationships						
I State and a state	Director	10% Owner	Officer	Other			
DUANE FRANCIS K C/O 200 MADISON AVENUE 18TH FLOOR NEW YORK, NY 10016			CEO, Heritage Brands & VC, PVH				
Signatures							

gnau

Francis K.	04/10/2018			
Duane	04/10/2018			
<u>**</u> Signature of Reporting Person	Date			
Reporting reison				

Explanation of Responses:

delivered as soon as practicable after they vest.

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents shares withheld to satisfy the Reporting Person's tax obligations in connection with the vesting of 1,350 restricted stock units. (1) The restricted stock units were reported as directly owned shares at the time they were granted.
- (2) Includes 31,821 shares of Common Stock subject to awards of restricted stock units.
- Represents shares subject to an award of restricted stock units. Each unit represents a contingent right to receive one share of Issuer's (3) Common Stock. The units vest equally over three years, with 7,019 shares vesting on each anniversary of grant. Vested shares are
- (4) Includes 30,471 shares of Common Stock subject to awards of restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners

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