ROCKWELL AUTOMATION INC
Form 3
July 05, 2016

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Â Champa Kenneth M

(Last) (First) (Middle)

1201 SOUTH SECOND STREET

(Street)

MILWAUKEE,Â WIÂ 53204

(City) (State) (Zip)

2. Date of Event Requiring Statement (Month/Day/Year)
07/01/2016

3. Issuer Name **and** Ticker or Trading Symbol
ROCKWELL AUTOMATION INC [ROK]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

_____ Director _____ 10% Owner
_____ Officer _____ Other
(give title below) (specify below)
Senior Vice President

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
_X_ Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1.Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	3,198 (1)	D	Â
Common Stock	199.7815	I	By Savings Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (*e.g.*, puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Common Stock Share Equivalents	Â (3)	Â (3)	Common Stock	46.8087	$ (2)	I	Non Qualified Savings Plan
Employee Stock Option (Right to Buy)	12/03/2009(4)	12/03/2018	Common Stock	5,300	$ 29.37	D	Â
Employee Stock Option (Right to Buy)	12/09/2010(4)	12/09/2019	Common Stock	6,700	$ 46.16	D	Â
Employee Stock Option (Right to Buy)	12/07/2011(4)	12/07/2020	Common Stock	4,500	$ 69.57	D	Â
Employee Stock Option (Right to Buy)	12/01/2012(4)	12/01/2021	Common Stock	3,400	$ 74.14	D	Â
Employee Stock Option (Right to Buy)	12/06/2013(4)	12/06/2022	Common Stock	2,700	$ 80.11	D	Â
Employee Stock Option (Right to Buy)	12/04/2014(4)	12/04/2023	Common Stock	2,000	$ 108.89	D	Â
Employee Stock Option (Right to Buy)	12/02/2015(4)	12/02/2024	Common Stock	2,600	$ 115.69	D	Â
Employee Stock Option (Right to Buy)	04/09/2016(4)	04/09/2025	Common Stock	2,500	$ 111.7	D	Â
Employee Stock Option (Right to Buy)	12/03/2016(4)	12/03/2025	Common Stock	1,500	$ 104.08	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Champa Kenneth M 1201 SOUTH SECOND STREET MILWAUKEE,Â WIÂ 53204	Â	Â	Â Senior Vice President	Â

Signatures

Karen A Balistreri, Attorney-in-fact for Kenneth M. Champa	07/05/2016
**Signature of Reporting Person	Date

Explanation of Responses:

***** If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

****** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes 420 shares held by the Company to implement restrictions on transfer unless and until certain conditions are met.

(2) Each unit is the economic equivalent of one share of Company common stock.

(3) The share equivalents are payable in cash upon retirement or after termination of employment.

(4) The option vests in three substantially equal annual installments beginning on the date exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
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