

HORACE MANN EDUCATORS CORP /DE/
Form 4
December 06, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
HECKMAN PETER H

2. Issuer Name and Ticker or Trading Symbol
HORACE MANN EDUCATORS CORP /DE/ [HMN]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
1 HORACE MANN PLAZA

(Street)

3. Date of Earliest Transaction (Month/Day/Year)
12/04/2013

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
Former President and CEO

SPRINGFIELD, IL 62715

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V Amount (A) or (D) Price | | | |
| Common Stock | 12/04/2013 | | M | 10,000 A \$ 6.91 | 128,736.011 (1) | D | |
| Common Stock | 12/04/2013 | | S | 10,000 D \$ 30.484 (2) | 118,736.011 (3) | D | |
| Common Stock | 12/05/2013 | | M | 25,000 A \$ 6.91 | 143,736.011 (1) (4) | D | |
| Common Stock | 12/05/2013 | | S | 25,000 D \$ 30.562 (5) | 118,736.011 (3) | D | |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Employee Stock Option (right to buy) | \$ 6.91 | 12/04/2013 | | M | 10,000 | 03/04/2010 03/04/2016 | | Common Stock | 10,000 |
| Employee Stock Option (right to buy) | \$ 6.91 | 12/05/2013 | | M | 25,000 | 03/04/2012 03/04/2016 | | Common Stock | 25,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships |
|---|--|
| HECKMAN PETER H 1 HORACE MANN PLAZA SPRINGFIELD, IL 62715 | Director 10% Owner Officer Former President and CEO |

Signatures

Linea K. Michael, Attorney in Fact for Peter H. Heckman
12/06/2013

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) Represents 69,763.125 restricted stock units, 37,272.566 deferred Common Stock equivalent units and 21,700.320 shares of Common Stock.
- (2) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$30.45 to \$30.50.
- (3) Represents 69,763.125 restricted stock units, 37,272.566 deferred Common Stock equivalent units and 11,700.320 shares of Common Stock.
- (4) Represents 69,763.125 restricted stock units, 37,272.566 deferred Common Stock equivalent units and 36,700.320 shares of Common Stock.
- (5) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$30.40 to \$30.88.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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