Edgar Filing: GABELLI CONVERTIBLE & INCOME SECURITIES FUND INC - Form 4

GABELLI CONVERTIBLE & INCOME SECURITIES FUND INC

Form 4

Stock, Par

February 20, 2014

1 Columny 20), 2014											
FORM 4 UNITED STATES SECUDITIES AND EXCHANCE COMMISSION							OMB APPROVAL					
	Washington, D.C. 20549								OMB Number:	3235-0287		
Check this box								Expires:	January 31,			
if no longer subject to Section 16. Form 4 or				SECU	RITIES				·			
Form 5 obligation may con See Inst 1(b).	ons section 17	(a) of the P	ublic U		lding Co	mpa	ny Act of	e Act of 1934, f 1935 or Sectio 40	on			
(Print or Type	Responses)											
GABELLI MARIO J Symbol GABE				er Name an				5. Relationship of Reporting Person(s) to Issuer				
				ME SECU				(Check all applicable) _X_ Director 10% Owner				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction Officer (give below)						title _X_ Other (specify below) Person of Adviser			
	CO INVESTORS CORPORATE C		02/19/2	2014				Control	r Cison of 7 k	uv1501		
				f Amendment, Date Original d(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
RYE, NY	10580							Person	More than One	Reporting		
(City)	(State)	(Zip)	Tab	ole I - Non-	Derivativ	e Secı	ırities Acq	uired, Disposed o	f, or Benefic	ially Owned		
1.Title of Security (Month/Day/Year) 2. Transaction Date 2A. Deemed Execution Date, if any (Month/Day/Year)			Code (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
C				Code V	Amount	or (D)	Price	(Instr. 3 and 4)	,			
Common Stock, Par Value \$0.001	02/19/2014			S	5,459	D	\$ 6.1427	207,023.35	I	Gabelli Securities, Inc. (1)		
Common Stock, Par Value \$0.001								374,041.29	D			
Common								890,549	I	GGCP, Inc.		

(2)

Edgar Filing: GABELLI CONVERTIBLE & INCOME SECURITIES FUND INC - Form 4

Value \$0.001

Common **MJG IV** Stock, Par Limited 10,000 Ι Value Partnership \$0.001 (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4. Transactio	5. orNumber	6. Date Exerc Expiration D		7. Title Amour		8. Price of Derivative	9. Nu Deriv
Security (Instr. 3)	or Exercise Price of Derivative Security	· · · · · · · · · · · · · · · · · · ·	any (Month/Day/Year)	Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Year)	Underly Securit (Instr. 3		Security (Instr. 5)	Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title 1	Amount or Number of Shares		

Relationships

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
GABELLI MARIO J C/O GAMCO INVESTORS, INC ONE CORPORATE CENTER RYE, NY 10580	X			Control Person of Adviser			

Signatures

Douglas R. Jamieson as Attorney-in-Fact for Mario J. 02/20/2014 Gabelli

> **Signature of Reporting Person Date

2 Reporting Owners

Edgar Filing: GABELLI CONVERTIBLE & INCOME SECURITIES FUND INC - Form 4

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The shares reported reflect the total shares owned by Gabelli Securities, Inc. (GSI), a majority owned subsidiary of GAMCO Investors,
- (1) Inc. (GAMCO). Mr. Gabelli, GAMCO, and GGCP have less than a 100% interest in GSI and disclaim beneficial ownership of the shares owned by this entity which are in excess of their indirect pecuniary interests.
- (2) The shares reported reflect the total shares owned by GGCP. Mr. Gabelli has less than a 100% interest in GGCP and disclaims beneficial ownership of the shares owned by this entity which are in excess of his indirect pecuniary interests.
- The shares reported reflect the total shares owned by MJG IV Limited Partnership, a limited partnership for which Mr. Gabelli serves as a general partner. Mr. Gabelli has less than a 100% interest in the entity and disclaims beneficial ownership of the shares owned by this entity which are in excess of his indirect pecuniary interest.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.