**AGENUS INC** Form 4 July 08, 2015

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

**SECURITIES** Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**OMB APPROVAL** 

OMB Number:

3235-0287

January 31, Expires: 2005

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response... 0.5

(Print or Type Responses)

may continue.

See Instruction

1(b).

(Last)

Security

(Instr. 3)

1. Name and Address of Reporting Person \* Valentine Karen

(First)

(Street)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

AGENUS INC [AGEN]

(Middle) 3. Date of Earliest Transaction

> (Month/Day/Year) 07/06/2015

Director 10% Owner Other (specify

(Check all applicable)

X\_ Officer (give title below) VP, General Counsel

C/O ANTIGENICS INC., 3 FORBES RD

> 4. If Amendment, Date Original Filed(Month/Day/Year)

> > Code

(Instr. 8)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(Instr. 4)

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

LEXINGTON, MA 02421

(City) (State) (Zip) 1. Title of 2. Transaction Date 2A. Deemed

(Month/Day/Year)

3. 4. Securities Execution Date, if TransactionAcquired (A) or

5. Amount of Securities Beneficially Owned

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (I)

(Instr. 4)

(A)

Following Reported Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

Disposed of (D)

(Instr. 3, 4 and 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed 4. 5. Number of 6. Date Exercisable and 7. Title and Amount of Derivative Conversion (Month/Day/Year) Execution Date, if TransactionDerivative **Expiration Date Underlying Securities** Security or Exercise Code Securities (Month/Day/Year) (Instr. 3 and 4) any

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(Instr. 3) Price of (Month/Day/Year) (Instr. 8) Acquired (A) Derivative or Disposed of Security (D) (Instr. 3, 4, and 5) Code V

(A) (D) Date Expiration Title Amount Exercisable Date or

Number of Share

Stock

Option, Commmon  $A^{(1)}$ 07/06/2015 02/12/2025 \$ 5.04 07/06/2015 12,000 12,000 Stock right to buy

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Valentine Karen

C/O ANTIGENICS INC. 3 FORBES RD

VP, General Counsel

LEXINGTON, MA 02421

#### **Signatures**

Christine M. Klaskin, by Power of 07/08/2015 Attorney

> \*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents the achievement of certain performance criteria and the vesting of 50% of a performance-based award originally granted on (1) February 12, 2015 and disclosed in a Form 4 filed February 17, 2015. The remaining 12,000 shares vest in one installment upon the registrant's achievement of certain additional performance criteria within 30 months from the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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