Stock Yards Bancorp, Inc.

Form 4

February 19, 2015

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

**OMB APPROVAL** 

Number:

3235-0287

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January 31, 2005

0.5

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obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

Common

Stock

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* Poindexter Philip

2. Issuer Name and Ticker or Trading Symbol

Stock Yards Bancorp, Inc. [SYBT]

5. Relationship of Reporting Person(s) to Issuer

(Middle)

(Zip)

3. Date of Earliest Transaction

Director 10% Owner

(Check all applicable)

(Month/Day/Year)

02/17/2015

Other (specify X\_ Officer (give title below)

6. Individual or Joint/Group Filing(Check

**Executive Vice President** 

(Street)

(State)

02/17/2015

(First)

320 MOCKINGBIRD HILL ROAD

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

LOUISVILLE, KY 40207

| (City)     | (State)             | (Zip) Tabl         | le I - Non-I | Derivative Securities Ac | equired, Disposed | of, or Benefic | ially Owned  |
|------------|---------------------|--------------------|--------------|--------------------------|-------------------|----------------|--------------|
| 1.Title of | 2. Transaction Date | 2A. Deemed         | 3.           | 4. Securities Acquired   | 5. Amount of      | 6.             | 7. Nature of |
| Security   | (Month/Day/Year)    | Execution Date, if | Transactio   | on(A) or Disposed of     | Securities        | Ownership      | Indirect     |
| (Instr. 3) |                     | any                | Code         | (D)                      | Beneficially      | Form: Direct   | Beneficial   |
|            |                     | (Month/Day/Year)   | (Instr. 8)   | (Instr. 3, 4 and 5)      | Owned             | (D) or         | Ownership    |
|            |                     |                    |              |                          | Following         | Indirect (I)   | (Instr. 4)   |
|            |                     |                    |              | (A)                      | Reported          | (Instr. 4)     |              |
|            |                     |                    |              | (A)                      | Transaction(s)    |                |              |

(Instr. 3 and 4)

Code V Amount (D) Price

F 93 D 12,593.632 D 32.5

ESOP/401k -Common Ι fbo Philip 6,624,1828 Stock Poindexter

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

### Edgar Filing: Stock Yards Bancorp, Inc. - Form 4

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Dr.Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |                     |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  |
|---|---|---|---|--|--|---------------------|--------------------|---|--|
|   |   |   |   | Code V                                 | (A) (D)  | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |
| Option<br>(Right to Buy                             | \$ 26.83  |   |   |  |  | 02/20/2008          | 02/20/2017         | Common<br>Stock   | 6,000                                  |
| Stock<br>Appreciation<br>Right                      | \$ 23.37  |   |   |  |  | 02/19/2009          | 02/19/2018         | Common<br>Stock   | 3,700                                  |
| Stock<br>Appreciation<br>Right                      | \$ 22.14  |   |   |  |  | 02/17/2010          | 02/17/2019         | Common<br>Stock   | 3,700                                  |
| Stock<br>Appreciation<br>Right                      | \$ 21.03  |   |   |  |  | 02/16/2011          | 02/16/2020         | Common<br>Stock   | 6,858                                  |
| Stock<br>Appreciation<br>Right                      | \$ 23.76  |   |   |  |  | 03/15/2012          | 03/15/2021         | Common<br>Stock   | 4,097                                  |
| Stock<br>Appreciation<br>Right                      | \$ 22.86  |   |   |  |  | 02/20/2013          | 02/20/2022         | Common<br>Stock   | 7,132                                  |
| Stock<br>Appreciation<br>Right                      | \$ 22.89  |   |   |  |  | 02/19/2014          | 02/19/2023         | Common<br>Stock   | 5,050                                  |
| Stock<br>Appreciation<br>Right                      | \$ 29.05  |   |   |  |  | 02/18/2015          | 02/18/2024         | Common<br>Stock   | 5,915                                  |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

**Executive Vice President** 

Reporting Owners 2

Poindexter Philip 320 MOCKINGBIRD HILL ROAD LOUISVILLE, KY 40207

## **Signatures**

//Philip

Poindexter 02/19/2015

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3