Southern National Bancorp of Virginia Inc

Form 5

Virginia

February 10, 2014

<b>FORM</b>	15								OMB AI	PPROVAL		
	UNITED S	STATES					GE CO	OMMISSION	OMB Number:	3235-0362		
Check this box if no longer subject			was	hington, D.	C. 20549	•			Expires:	January 31,		
to Section Form 4 or 1 5 obligation may contin See Instruc	16. Form ANN ns nue.			NT OF CH SHIP OF S				FICIAL	Estimated a burden hou response	rs per		
1(b).	Filed purs	a) of the l	Public Ut		g Compa	ny A	ct of		n			
1. Name and Add DERRICO C	ddress of Reporting I GEORGIA S	Person *	Symbol Southern	Name <b>and</b> Tick n National B Inc [SONA	ancorp o			5. Relationship of Issuer (Chec	Reporting Pers			
(Last)	(Last) (First) (Middle) 3. St			3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)				Director _X Officer (give below)	below)			
2954 BURR	LAND LANE							Chairi	man of the Boar	rd		
	(Street)	4. If Amendment, Date Original Filed(Month/Day/Year)				(	6. Individual or Joint/Group Reporting					
								(chec	k applicable line	)		
THE PLAIN	IS, VA 20198							Form Filed by ( _X_ Form Filed by Person	One Reporting Pe More than One R			
(City)	(State)	(Zip)	Table	e I - Non-Deri	vative Sec	urities	s Acqu	ired, Disposed of	f, or Beneficial	lly Owned		
1.Title of Security (Instr. 3)	(Month/Day/Year) Execution Date, if Transaction Acquired (A) or Security any Code Disposed of (D) Be (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Or (A) Figure 2.		5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)							
Southern National Bancorp of Virginia Common Stock	Â	Â		Â	Â	Â	Â	313,516 (1)	D	Â		
Southern National Bancorp of	Â	Â		Â	Â	Â	Â	750 (2)	D	Â		

Common Stock									
Southern National Bancorp of Virginia Common Stock	Â	Â	Â	Â	Â	Â	87,376 <u>(3)</u>	I	By IRA
Southern National Bancorp of Virginia Common Stock	Â	Â	Â	Â	Â	Â	37,344 (4)	I	By IRA
Southern National Bancorp of Virginia Common Stock	Â	Â	Â	Â	Â	Â	9,390 (5)	I	By 401k Plan
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.			Persons wh contained i the form dis	SEC 2270 (9-02)					

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

6. Date Exercisable and

3. Transaction Date 3A. Deemed

1. Title of

(Right to

Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	(Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)	Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)				Underlying Securit (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shar
Warrants (Right to Buy)	\$ 9.09	Â	Â	Â	Â	Â	10/21/2004	10/21/2014	Common Stock	16,50 (6)
Employee Stock Option	\$ 9.09	Â	Â	Â	Â	Â	12/31/2005	04/04/2015	Common Stock	55,00 (7)

7. Title and Amount

Buy)										
Employee Stock Option (Right to Buy)	\$ 9.09	Â	Â	Â	Â	Â	12/31/2005	11/17/2015	Common Stock	5,50 (8)
Employee Stock Option (Right to Buy)	\$ 9.2	Â	Â	Â	Â	Â	01/23/2009(9)	01/23/2018	Common Stock	20,00
Employee Stock Option (Right to Buy)	\$ 5.5	Â	Â	Â	Â	Â	01/27/2010(11)	01/27/2019	Common Stock	22,00 (12)
Employee Stock Option (Right to Buy)	\$ 8.02	Â	Â	Â	Â	Â	07/29/2010(13)	07/29/2019	Common Stock	10,00
Employee Stock Option (Right to Buy)	\$ 7.04	Â	Â	Â	Â	Â	07/27/2011(15)	07/27/2020	Common Stock	10,00
Employee Stock Option (Right to Buy)	\$ 7.2	Â	Â	Â	Â	Â	03/21/2012(17)	03/21/2021	Common Stock	40,00
Employee Stock Option (Right to Buy)	\$ 7.92	Â	Â	Â	Â	Â	12/05/2013(19)	12/05/2022	Common Stock	40,00
Employee Stock Option (Right to Buy)	\$ 9.14	Â	Â	Â	Â	Â	06/21/2014(21)	06/21/2023	Common Stock	40,00

# **Reporting Owners**

Reporting Owner Name / Address	Relationships
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### Edgar Filing: Southern National Bancorp of Virginia Inc - Form 5

Director 10% Owner Officer Other

DERRICO GEORGIA S

2954 BURRLAND LANE Â Â Â Chairman of the Board Â

THE PLAINS, VAÂ 20198

PORTER R RODERICK

2954 BURRLAND LANE Â Â Â President Â

THE PLAINS, VAÂ 20198

### **Signatures**

Georgia S. 02/10/2014 Derrico

\*\*Signature of Date
Reporting Person

R. Roderick

Porter 02/10/2014

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are owned jointly. Georgia S. Derrico and R. Roderick Porter are married.
- (2) These shares are held by Georgia S. Derrico.
- (3) These shares are held in an IRA account by Georgia S. Derrico.
- (4) These shares are held in an IRA account by R. Roderick Porter.
- (5) These shares are owned in a 401k plan as follows: 4682 by Georgia S. Derrico and 4708 by R. Roderick Porter.
- (6) These warrants are owned as follows: 8250 by Georgia S. Derrico and 8250 by R. Roderick Porter.
- (7) These options are owned as follows: 27500 by Georgia S. Derrico and 27500 by R. Roderick Porter.
- (8) These options are owned as follows: 2750 by Georgia S. Derrico and 2750 by R.Roderick Porter.
- (9) These options are exercisable as follows: 4000 on 1/23/2009, 4000 on 1/23/2010, 4000 on 1/23/2011, 4000 on 1/23/2012, 4000 on 1/23/2013.
- (10) These options are owned as follows: 10000 by Georgia S. Derrico and 10000 by R. Roderick Porter.
- (11) These options are exercisable as follows: 4400 on 1/27/2010,4400 on 1/27/2011,4400 on 1/27/2012,4400 on 1/27/2013,4400 on 1/27/2014.
- (12) These options are owned as follows: 11000 by Georgia S. Derrico and 11000 by R. Roderick Porter.
- (13) These options are exercisable as follows:2000 on 7/29/2010,2000 on 7/29/2011,2000 on 7/29/2012,2000 on 7/29/2013,2000 on 7/29/2014.
- (14) These options are owned as follows: 5000 by Georgia S. Derrico and 5000 by R. Roderick Porter.
- These options are exercisable as follows: 2000 on 7/27/2011,2000 on 7/27/2012,2000 on 7/27/2013,2000 on 7/27/2014,2000 on 7/27/2015.
- (16) These options are owned as follows: 5000 by Georgia S. Derrico and 5000 by R. Roderick Porter.
- These options are exercisable as follows: 8000 on 3/21/2012,8000 on 3/21/2013,8000 on 3/21/2014,8000 on 3/21/2015,8000 on 3/21/2016.
- (18) These options are owned as follows: 20000 by Georgia S. Derrico and 20000 by R. Roderick Porter.

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- (19) These options are exercisable as follows: 8000 on 12/5/2013,8000 on 12/5/2014,8000 on 12/5/2015,8000 on 12/5/2016,8000 on 12/5/2017.
- (20) These options are owned as follows: 20000 by Georgia S. Derrico and 20000 by R. Roderick Porter.
- (21) These options are exercisable as follows: 8000 on 6/21/2014,8000 on 6/21/2015,8000 on 6/21/2016,8000 on 6/21/2017,8000 on 6/21/2018.
- (22) These options are owned as follows: 20000 by Georgia S. Derrico and 20000 by R. Roderick Porter.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.