Southern National Bancorp of Virginia Inc Form 4

May 02, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

2. Issuer Name and Ticker or Trading

Check this box if no longer subject to

Section 16. Form 4 or

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Symbol

(Print or Type Responses)

DERRICO GEORGIA S

1. Name and Address of Reporting Person *

1(b).

Southern National Bancorp of (Check all applicable) Virginia Inc [SONA] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Other (specify X_ Officer (give title (Month/Day/Year) below) 2954 BURRLAND LANE 04/30/2014 Chairman of the Board (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) Form filed by One Reporting Person _X_ Form filed by More than One Reporting THE PLAINS, VA 20198 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially (D) or Beneficial Owned (Month/Day/Year) Indirect (I) Ownership (Instr. 8) Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price Southern National Bancorp of 04/30/2014 354 314,339 (1) P D Virginia Common Stock Southern National Bancorp of $750^{(2)}$ D Virginia Common Stock

OMB APPROVAL

3235-0287

January 31,

2005

0.5

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Number:

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response...

5. Relationship of Reporting Person(s) to

Issuer

Estimated average

burden hours per

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Southern National Bancorp of Virginia Common Stock	88,376 <u>(3)</u>	I	By IRA
Southern National Bancorp of Virginia Common Stock	38,150 (4)	I	By IRA
Southern National Bancorp of Virginia Common Stock	9,390 (5)	I	By 401k plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable Expiration Date (Month/Day/Year)		7. Title and A Underlying S (Instr. 3 and	Securi
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of S
Warrants(Right to Buy)	\$ 9.09					10/21/2004	10/21/2014	Common Stock	16.
Employee Stock Option (Right to Buy)	\$ 9.09					12/31/2005	04/04/2015	Common Stock	55 <u>.</u>
Employee Stock Option	\$ 9.09					12/31/2005	11/17/2015	Common Stock	5,: <u>(</u>

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(Right to Buy)					
Employee Stock Option (Right to Buy)	\$ 9.2	01/23/2009(9)	01/23/2018	Common Stock	20, (
Employee Stock Option (Right to Buy)	\$ 5.5	01/27/2010(11)	01/27/2019	Common Stock	22, <u>(</u>
Employee Stock Option (Right to Buy)	\$ 8.02	07/29/2010(13)	07/29/2019	Common Stock	10,
Employee Stock Option (Right to Buy)	\$ 7.04	07/27/2011(15)	07/27/2020	Common Stock	10,
Employee Stock Option (Right to Buy)	\$ 7.2	03/21/2012(17)	03/21/2021	Common Stock	40, <u>(</u>
Employee Stock Option (Right to Buy)	\$ 7.92	12/05/2013(19)	12/05/2022	Common Stock	40,
Employee Stock Option (Right to Buy)	\$ 9.14	06/21/2014(21)	06/21/2023	Common Stock	40,

Reporting Owners

Reporting Owner Name / Address	Relationships				
r	Director	10% Owner	Officer	Other	
DERRICO GEORGIA S 2954 BURRLAND LANE THE PLAINS, VA 20198			Chairman of the Board		
PORTER R RODERICK 2954 BURRLAND LANE THE PLAINS, VA 20198			President		
A 1 .					

Signatures

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Georgia S.Derrico	05/02/2014
**Signature of Reporting Person	Date
R.Roderick Porter	05/02/2014
**Signature of Reporting Person	Date

Reporting Owners 3

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are owned jointly. Georgia S. Derrico and R. Roderick Porter are married.
- (2) These shares are owned by Georgia S. Derrico.
- (3) These shares are held in an IRA account by Georgia S. Derrico.
- (4) These shares are held in an IRA account by R. Roderick Porter.
- (5) These shares are held in a 401k plan as follows: 4682 by Georgia S. Derrico and 4708 by R. Roderick Porter.
- (6) These warrants are owned as follows: 8250 by Georgia S. Derrico and 8250 by R. Roderick Porter.
- (7) These options are owned as follows: 27500 by Georgia S. Derrico and 27500 by R. Roderick Porter.
- (8) These options are owned as follows: 2750 by Georgia S. Derrico and 2750 by R. Roderick Porter.
- (9) These options are exercisable as follows: 4000 on 1/23/2009,4000 on 1/23/2010,4000 on 1/23/2011,4000 on 1/23/2012,4000 on 1/23/2013.
- (10) These options are owned as follows: 10000 by Georgia. S. Derrico and 10000 by R. Roderick Porter.
- (11) These options are exercisable as follows: 4400 on 1/27/2010,4400 on 1/27/2011,4400 on 1/27/2012,4400 on 1/27/2013,4400 on 1/27/2014.
- (12) These options are owned as follows: 11000 by Georgia S. Derrico and 11000 by R. Roderick Porter.
- (13) These options are exercisable as follows: 2000 on 7/29/2010,2000 on 7/29/2011,2000 on 7/29/2012,2000 on 7/29/2013,2000 on 7/29/2014.
- (14) These options are owned as follows: 5000 by Georgia S. Derrico and 5000 by R. Roderick Porter.
- (15) These options are exercisable as follows: 2000 on 7/27/2011,2000 on 7/27/2012,2000 on 7/27/2013,2000 on 7/27/2014,2000 on 7/27/2015.
- (16) These options are owned as follows: 5000 by Georgia S. Derrico and 5000 by R. Roderick Porter.
- (17) These options are exercisable as follows: 8000 on 3/21/2012,8000 on 3/21/2013,8000 on 3/21/2014,8000 on 3/21/2015,8000 on 3/21/2016.
- (18) These options are owned as follows: 20000 by Georgia S. Derrico and 20000 by R. Roderick Porter.
- (19) These options are exercisable as follows: 8000 on 12/5/2013,8000 on 12/5/2014,8000 on 12/5/2015,8000 on 12/5/2016,8000 on 12/5/2017.
- (20) These options are owned as follows: 20000 by Georgia S. Derrico and 20000 by R. Roderick Porter.
- (21) These options are exercisable as follows: 8000 on 6/21/2014,8000 on 6/21/2015,8000 on 6/21/2016,8000 on 6/21/2017,8000 on 6/21/2018.
- (22) These options are owned as follows: 20000 by Georgia S. Derrico and 20000 by R. Roderick Porter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.