Southern National Bancorp of Virginia Inc

Form 4

November 05, 2014

FORM	I /I							OMB A	PPROVAL
I Onivi	UNITED S	STATES SECUE				NGE (COMMISSION	OMB	3235-0287
Check thi	s box	Was	shington,	D.C. 20:	549			Number:	January 31,
if no long	ar	ENT OF CHAN	CEC IN I	PENIEFI	CIA	I OW	NEDCHID OF	Expires:	2005
subject to Section 16. Form 4 or STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES					NEKSHIF OF	Estimated average burden hours per response 0.5			
Form 5 obligation may continue <i>See</i> Instruction 1(b).	Section 17(a	suant to Section 1 a) of the Public U 30(h) of the In	tility Hold	ing Com	pany	Act of	f 1935 or Section	·	
(Print or Type R	Responses)								
1. Name and A DERRICO (ddress of Reporting F GEORGIA S	Symbol	r Name and n Nationa			ng	5. Relationship of Issuer		
		Virginia	a Inc [SON	NA]			(Checi	k all applicable	e)
(Last) 2954 BURR	(First) (M	(Month/E 11/03/2		ansaction			DirectorX Officer (give below)		Owner er (specify
	(0)								
THE PLAIN	(Street) IS, VA 20198		endment, Dat nth/Day/Year)	_			6. Individual or Jo Applicable Line) Form filed by O _X_ Form filed by M Person	ne Reporting Pe	rson
(City)	(State) (Zip) Tabl	e I - Non-D	erivative S	Securi	ities Aco	uired, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	. Transaction Date 2A. Deemed 3. 4. Securities Acquire		cquired d of			7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Southern			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
National Bancorp of Virginia Common Stock	11/03/2014		P	5,500	A	\$ 9.09	351,259 <u>(1)</u>	D	
Southern National Bancorp of Virginia Common Stock							750 <u>(2)</u>	D	

OMB APPROVAL

Southern National Bancorp of Virginia Common Stock	105,376 (3)	I	By IRA
Southern National Bancorp of Virginia Common Stock	45,235 <u>(4)</u>	I	By IRA
Southern National Bancorp of Virginia Common Stock	9,390 (5)	I	By 401k Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Buy)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number coof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Warrants (Right to Buy)	\$ 9.09					10/21/2004	10/21/2014	Common Stock	16,50 (6)
Employee Stock Option (Right to	\$ 9.09	11/03/2014		P	5,500	12/31/2005	11/17/2015	Common Stock	5,50 (7)

Employee Stock Option (Right to Buy)	\$ 9.2	01/23/2009(8)	01/23/2018	Common Stock	20,00
Employee Stock Option (Right to Buy)	\$ 5.5	01/27/2010 <u>(10)</u>	01/27/2019	Common Stock	22,00 (11)
Employee Stock Option (Right to Buy)	\$ 8.02	07/29/2010(12)	07/29/2019	Common Stock	10,00
Employee Stock Option (Right to Buy)	\$ 7.04	07/27/2011 <u>(14)</u>	07/27/2020	Common Stock	10,00
Employee Stock Option (Right to Buy)	\$ 7.2	03/21/2012(16)	03/21/2021	Common Stock	40,00
Employee Stock Option (Right to Buy)	\$ 7.92	12/05/2013 <u>(18)</u>	12/05/2022	Common Stock	40,00
Employee Stock Option (Right to Buy)	\$ 9.14	06/21/2014 <u>(20)</u>	06/21/2023	Common Stock	40,00
Employee Stock Option (Right to Buy)	\$ 10.47	07/22/2015 <u>(22)</u>	07/22/2024	Common Stock	48,00

Reporting Owners

Reporting Owner Name / Address			Relationships		
	Director	10% Owner	Officer	Other	

Reporting Owners 3

DERRICO GEORGIA S

2954 BURRLAND LANE Chairman of the Board

THE PLAINS, VA 20198

PORTER R RODERICK 2954 BURRLAND LANE

President

THE PLAINS, VA 20198

Signatures

Georgia S.
Derrico
11/05/2014

**Signature of Date

Reporting Person

R. Rdoerick Porter

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are owned jointly. Georgia S. Derrico and R. Roderick Porter are married.
- (2) These shares are owned by Georgia S. Derrico.
- (3) These shares are held in an IRA by Georgia S. Derrico.
- (4) These shares are held in an IRA by R. Roderick Porter.
- (5) These shares are held in a 401k plan as follows: 4682 by Georgia S. Derrico and 4708 by R. Roderick Porter.
- (6) These warrants are owned as follows: 8250 by Georgia S. Derrico and 8250 by R. Roderick Porter.
- (7) These options are owned as follows: 2750 by Georgia S. Derrico and 2750 by R. Roderick Porter.
- (8) These options are exercisable as follows: 4000 on 1/23/2009, 4000 on 1/23/2010, 4000 on 1/23/2011, 4000 on 1/23/2012, 4000 on 1/23/2013.
- (9) These options are owned as follows: 10000 by Georgia s. Derrico and 10000 by R. Roderick Porter.
- (10) These options are exercisable as follows: 4400 on 1/27/2010, 4400 on 1/27/2011, 4400 on 1/27/2012, 4400 on 1/27/2013, 4400 on 1/27/2014.
- (11) These options are owned as follows: 11000 by Georgia S. Derrico and 11000 by R. Roderick Porter.
- These options are exercisable as follows: 2000 on 7/29/2010, 2000 on 7/29/2011, 2000 on 7/29/2012, 2000 on 7/29/2013, 2000 on 7/29/2014.
- (13) These options are owned as follows: 5000 by Georgia S. Derrico and 5000 by R. Roderick Porter.
- These options are exercisable as follows: 2000 on 7/27/2011, 2000 on 7/27/2012, 2000 on 7/27/2013, 2000 on 7/27/2013, 2000 on 7/27/2014, 2000 on 7/27/2015.
- (15) These options are owned as follows: 5000 by Georgia S. Derrico and 5000 by R. Roderick Porter.
- These options are exercisable as follows: 8000 on 3/21/2012, 8000 on 3/21/2013, 8000 on 3/21/2014, 8000 on 3/21/2015, 8000 on 3/21/2016.
- (17) These options are owned as follows: 20000 by Georgia S. Derrico and 20000 by R. Roderick Porter.
- (18) These options are exercisable as follows: 8000 on 12/5/2013, 8000 on 12/5/2014, 8000 on 12/5/2015, 8000 on 12/5/2016, 8000 on 12/5/2017.
- (19) These options are owned as follows: 20000 by Georgia S. Derrico and 20000 by R. Roderick Porter.

Signatures 4

- (20) These options are exercisable as follows: 8000 on 6/21/2014, 8000 on 6/21/2015, 8000 on 6/21/2016, 8000 on 6/21/2017, 8000 on 6/21/2018.
- (21) These options are owned as follows: 20000 by Georgia S. Derrico and 2000 by R. Roderick Porter.
- These options are exercisable as follows: 9600 on 7/22/2015, 9600 on 7/22/2016, 9600 on 7/22/2017, 9600 on 7/22/2018, 9600 on 7/22/2019.
- (23) These options are owned as follows: 24000 by Georgia S. Derrico and 24000 by R. Roderick Porter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.