Southern National Bancorp of Virginia Inc Form 4

July 22, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to

Section 16. Form 4 or

Form 5 obligations

may continue. See Instruction STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

National Bancorp of

Virginia Common Stock

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading DERRICO GEORGIA S Issuer Symbol Southern National Bancorp of (Check all applicable) Virginia Inc [SONA] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X_ Officer (give title Other (specify (Month/Day/Year) below) 2954 BURRLAND LANE 06/17/2016 Chairman of the Board (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) Form filed by One Reporting Person _X_ Form filed by More than One Reporting THE PLAINS, VA 20198 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Indirect (I) Ownership (Month/Day/Year) (Instr. 8) Owned Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Southern National Bancorp of 354,702 (1) D Virginia Common Stock Southern

 $750^{(2)}$

D

OMB APPROVAL

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January 31,

2005

0.5

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Southern			
National			
Bancorp of	105,375 (3)	I	By IRA
Virginia	103,373 😽	1	Бу ІКА
Common			
Stock			
Southern			
National			
Bancorp of	45,235 <u>(4)</u>	I	By IRA
Virginia	45,255 <u>~</u>	1	Бу ІКА
Common			
Stock			
Southern			
National			
Bancorp of	12,170 <u>(5)</u>	I	by 401k
Virginia	12,170 (5)	1	Plan
Common			
Stock			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date (Month/Day/Year	Expiration Date Unc		Title and Amour inderlying Securit instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh	
Warrants (Right to Buy)	\$ 9.09					10/21/2004	04/14/2017	Common Stock	16, <u>;</u>	
Employee Stock Option (Right to Buy)	\$ 9.2					01/23/2009	01/23/2018	Common Stock	20,0 <u>(7</u>	
	\$ 5.5					01/27/2010	01/27/2019			

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Employee Stock Option (Right to Buy)							Common Stock	22,0
Employee Stock Option (Right to Buy)	\$ 8.02				07/29/2010	07/29/2019	Common Stock	10,0 (9
Employee Stock Option (Right to Buy)	\$ 7.04				07/27/2011	07/27/2020	Common Stock	10,0
Employee Stock Option (Right to Buy)	\$ 7.2				03/21/2012	03/21/2021	Common Stock	40,0
Employee Stock Option (Right to Buy)	\$ 7.92				12/05/2013(12)	12/05/2022	Common Stock	40,0
Employee Stock Option (Right to Buy)	\$ 9.14				06/21/2014(14)	06/21/2023	Common Stock	40,0
Employee Stock Option (Right to Buy)	\$ 10.47				07/22/2015 <u>(16)</u>	07/22/2024	Common Stock	48,0
Employee Stock Option (Right to Buy)	\$ 11.43				06/19/2016 <u>⁽¹⁸⁾</u>	06/19/2025	Common Stock	48,0
Employee Stock Option (Right to Buy)	\$ 11.99	06/17/2016	P	48,000	06/16/2017 <u>(20)</u>	06/16/2026	Common Stock	48,0

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

DERRICO GEORGIA S

2954 BURRLAND LANE Chairman of the Board

THE PLAINS, VA 20198

PORTER R RODERICK

2954 BURRLAND LANE President

THE PLAINS, VA 20198

Signatures

Georgia S. 07/22/2016 Derrico

**Signature of Date

Reporting Person

R. Roderick Porter 07/22/2016

**Signature of Date

Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are owned jointly. Georgia S. Derrico and R. Roderick Porter are married.
- (2) These shares are owned by Georgia S. Derrico.
- (3) These shares are held in an IRA owned by Georgia S. Derrico.
- (4) These shares are held in an IRA owned by R. Roderick Porter.
- (5) These shares are held in a 401k plan as follows: 6068 by Georgia S. Derrico and 6102 by R. Roderick Porter.
- (6) These warrants are owned as follows: 8250 by Georgia S. Derrico and 8250 by R. Roderick Porter.
- (7) These options are owned as follows: 10000 by Georgia S. Derrico and 10000 by R. Roderick Porter.
- (8) These options are owned as follows: 11000 by Georgia S. Derrico and 11000 by R. Roderick Porter.
- (9) These options are owned as follows: 5000 by Georgia S. Derrico and 5000 by R. Roderick Porter.
- (10) These options are owned as follows: 5000 by Georgia S. Derrico and 5000 by R. Roderick Porter.
- (11) These options are owned as follows: 20000 by Georgia S. Derrico and 20000 by R. Roderick Porter.
- (12) These options are exercisable as follows: 8000 on 12/5/2013, 8000 on 12/5/2014, 8000 on 12/5/2015, 8000 on 12/5/2016, 8000 on 12/5/2017.
- (13) These options are owned as follows: 20000 by Georgia S. Derrico and 20000 by R. Roderick Porter.
- (14) These options are exercisable as follows: 8000 on 6/21/2014, 8000 on 6/21/2015, 8000 on 6/21/2016, 8000 on 6/21/2017, 8000 on 6/21/2018.
- (15) These options are owned as follows: 20000 by Georgia S. Derrico and 20000 by R. Roderick Porter.
- (16) These options are exercisable as follows: 9600 on 7/22/2015, 9600 on 7/22/2016, 9600 on 7/22/2017, 9600 on 7/22/2018, 9600 on 7/22/2019.
- (17) These options are owned as follows: 24000 by Georgia S. Derrico and 24000 by R. Roderick Porter.

Reporting Owners 4

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- (18) These options are exercisable as follows: 9600 on 6/19/2016, 9600 on 6/19/2017, 9600 on 6/19/2018, 9600 on 6/19/2019, 9600 on 6/19/2020.
- (19) These options are owned as follows: 24000 by Georgia S. Derrico and 24000 by R. Roderick Porter.
- (20) These options are exercisable as follows: 9600 on 6/16/2017, 9600 on 6/16/2018, 9600 on 6/16/2019, 9600 on 6/16/2020, 9600 on 6/16/2021.
- (21) These options are owned as follows: 24000 by Georgia S. Derrico and 24000 by R. Roderick Porter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.