Southern National Bancorp of Virginia Inc Form 4

July 27, 2016

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to

Section 16. Form 4 or Form 5

obligations

may continue. See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

CALL NEIL J

(Last)

(City)

1.Title of

Security

(Instr. 3)

Southern National Bancorp of

Virginia Common Stock

1(b).

(First)

(Street)

(State)

(Month/Day/Year)

1500 ATLANTIC BLVD. UNIT 307

(Middle)

(Zip)

any

Execution Date, if

2. Transaction Date 2A. Deemed

07/26/2016

KEYWEST, FL 33040

5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Issuer

Symbol

Southern National Bancorp of Virginia Inc [SONA]

3. Date of Earliest Transaction (Month/Day/Year)

4. If Amendment, Date Original

Filed(Month/Day/Year)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Amount

Code

(Month/Day/Year) (Instr. 8)

3.

4. Securities Acquired Transaction(A) or Disposed of (D) (Instr. 3, 4 and 5)

(A)

or

(D)

Price

Owned Following Reported

Transaction(s) (Instr. 3 and 4)

X\_ Director

Applicable Line)

5. Amount of

Securities

Beneficially

below)

Person

Officer (give title

99,798

Southern National

Bancorp of Virginia

Common Stock

07/26/2016

P

11,000 A

\$ 9.09

18,000 (1)

D

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

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(Check all applicable)

6. Individual or Joint/Group Filing(Check

(D) or

D

Indirect (I)

(Instr. 4)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

10% Owner

6. Ownership 7. Nature of

Beneficial

Ownership

(Instr. 4)

Form: Direct Indirect

Other (specify

1

### Edgar Filing: Southern National Bancorp of Virginia Inc - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	e 3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Warrants (Right to Buy)	\$ 9.09	07/26/2016		P		11,000	08/26/2004	04/14/2017	Common Stock	11,000
Employee Stock Option (Right to Buy)	\$ 14.73						01/24/2008	01/24/2017	Common Stock	1,100
Employee Stock Option (Right to Buy)	\$ 9.2						01/23/2009	01/23/2018	Common Stock	1,000
Employee Stock Option (Right to Buy)	\$ 7.2						03/21/2012	03/21/2021	Common Stock	1,000
Employee Stock Option (Right to Buy)	\$ 6.24						02/09/2013	02/09/2022	Common Stock	2,000 (2)
Employee Stock Option (Right to Buy)	\$ 9.32						01/29/2014	01/29/2023	Common Stock	3,500 ( <u>3)</u>

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

CALL NEIL J

1500 ATLANTIC BLVD. UNIT 307 X

KEYWEST, FL 33040

### **Signatures**

Neil J. Call 07/27/2016

\*\*Signature of Reporting Person

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are owned jointly with spouse.
- (2) These options are exercisable as follows: 400 on 2/9/2013, 400 on 2/9/2014, 400 on 2/9/2015, 400 on 2/9/2016, 400 on 2/9/2017.
- (3) These options are exercisable as follows: 700 on 1/29/2014, 700 on 1/29/2015, 700 on 1/29/2016, 700 on 1/29/2017, 700 on 1/29/2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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