Southern National Bancorp of Virginia Inc

Form 4

February 03, 2017

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

See Instruction

Check this box

if no longer

Section 16.

subject to

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **DERRICO GEORGIA S** Issuer Symbol Southern National Bancorp of (Check all applicable) Virginia Inc [SONA] 3. Date of Earliest Transaction (Last) (First) (Middle) Director 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year) below) 2954 BURRLAND LANE 02/02/2017 Chairman of the Board (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting THE PLAINS, VA 20198 Person

			CISOII										
(City)	(State)	(Zip) Tab	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)					
Southern National			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)					
Bancorp of Virginia Common Stock	02/02/2017		P	3,100	A	\$ 16.0909	357,802 (1)	D					
Southern National Bancorp of Virginia	02/03/2017		P	16,500	A	\$ 9.09	374,302 (1)	D					

**OMB APPROVAL** 

3235-0287

January 31,

2005

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of

**OMB** 

Number:

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Common Stock

Southern National Bancorp of Virginia Common Stock						750 <u>(2)</u>	D	
Southern National Bancorp of Virginia Common Stock						105,375 (3)	I	By IRA
Southern National Bancorp of Virginia Common Stock						45,235 <u>(4)</u>	I	By IRA
Southern National Bancorp of Virginia Common Stock						12,170 <u>(5)</u>	I	By 401k Plan
Reminder: Re	eport on a separ	rate line for each class	s of securities benefic	Persons informat required	who respond ion contained to respond u a currently va	to the collect in this form a nless the forn	are not n	SEC 1474 (9-02)
			tive Securities Acqui ats, calls, warrants, o					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D)	6. Date Exercise Expiration Date (Month/Day/Y	e	7. Title and Amour Underlying Securit (Instr. 3 and 4)

(Instr. 3, 4, and 5)

(D)

Date Exercisable

Code V (A)

Title

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						Expiration Date		Amo or Num of Sh
Warrants (Right to Buy)	\$ 9.09	02/03/2017	P	16,500	10/21/2004	04/14/2017	Common Stock	16,5 (6
Employee Stock Option (Right to Buy)	\$ 9.2				01/23/2009	01/23/2018	Common Stock	20,0
Employee Stock Option (Right to Buy)	\$ 5.5				01/27/2010	01/27/2019	Common Stock	22, <b>0</b>
Employee Stock Option (Right to Buy)	\$ 8.02				07/29/2010	07/29/2019	Common Stock	10,0 (9
Employee Stock Option (Right to Buy)	\$ 7.04				07/27/2011	07/27/2020	Common Stock	10,0
Employee Stock Option (Right to Buy)	\$ 7.2				03/21/2012	03/21/2021	Common Stock	40,0
Employee Stock Option (Right to Buy)	\$ 7.92				12/05/2013(12)	12/05/2022	Common Stock	40,0
Employee Stock Option (Right to Buy)	\$ 9.14				06/21/2014(14)	06/21/2023	Common Stock	40,0
Employee Stock Option (Right to Buy)	\$ 10.47				07/22/2015(16)	07/22/2024	Common Stock	48,0

Employee Stock

Option \$ 11.43

06/19/2016(18) 06/19/2025

Common 48,0 Stock (1

(Right to Buy)

Employee Stock

Option \$ 11.99

06/16/2017(20) 06/16/2026

Common 4 Stock

(Right to Buy)

## **Reporting Owners**

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

DERRICO GEORGIA S

2954 BURRLAND LANE Chairman of the Board

THE PLAINS, VA 20198

PORTER R RODERICK

2954 BURRLAND LANE President

THE PLAINS, VA 20198

## **Signatures**

Georgia S. 02/03/2017 Derrico

\*\*Signature of Date

Reporting Person

R. Roderick 02/03/2017

Porter 02/03/201

\*\*Signature of Date
Reporting Person

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are owned jointly. Georgia S. Derrico and R. Roderick Porter are married.
- (2) These shares are owned by Georgia S. Derrico.
- (3) These shares are held in an IRA owned by Georgia S. Derrico.
- (4) These shares are held in an IRA owned by R. Roderick Porter.
- (5) These shares are held in a 401k Plan as follows: 6068 by Georgia S. Derrico and 6102 by R. Roderick Porter.
- (6) These warrants are owned as follows: 8250 by Georgia S. Derrico and 8250 by R. Roderick Porter.
- (7) These options are owned as follows: 10000 by Georgia S. Derrico and 10000 by R. Roderick Porter.
- (8) These options are owned as follows: 11000 by Georgia S. Derrico and 11000 by R. Roderick Porter.
- (9) These options are owned as follows: 5000 by Georgia S. Derrico and 5000 by R. Roderick Porter.

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- (10) These options are owned as follows: 5000 by Georgia S. Derrico and 5000 by R. Roderick Porter.
- (11) These options are owned as follows: 20000 by Georgia S. Derrico and 20000 by R. Roderick Porter.
- (12) These options are exercisable as follows: 8000 on 12/5/2013, 8000 on 12/5/2014, 8000 on 12/5/2015, 8000 on 12/5/2016, 8000 on 12/5/2017.
- (13) These options are owned as follows: 20000 by Georgia S. Derrico and 20000 by R. Roderick Porter.
- These options are exercisable as follows: 8000 on 6/21/2014, 8000 on 6/21/2015, 8000 on 6/21/2016, 8000 on 6/21/2017, 8000 on 6/21/2018.
- (15) These options are owned as follows: 20000 by Georgia S. Derrico and 20000 by R. Roderick Porter.
- (16) These options are exercisable as follows: 9600 on 7/22/2015, 9600 on 7/22/2016, 9600 on 7/22/2017, 9600 on 7/22/2018, 9600 on 7/22/2019.
- (17) These options are owned as follows: 24000 by Georgia S. Derrico and 24000 by R. Roderick Porter.
- (18) These options are exercisable as follows: 9600 on 6/19/2016, 9600 on 6/19/2017, 9600 on 6/19/2018, 9600 on 6/19/2019, 9600 on 6/19/2020.
- (19) These options are owned as follows: 24000 by Georgia S. Derrico and 24000 by R. Roderick Porter.
- (20) These options are exercisable as follows: 9600 on 6/16/2017, 9600 on 6/16/2018, 9600 on 6/16/2019, 9600 on 6/16/2020, 9600 on 6/16/2021.
- (21) These options are owned as follows: 24000 by Georgia S. Derrico and 24000 by R. Roderick Porter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.