Southern National Bancorp of Virginia Inc

Form 5

National Bancorp of Virginia

February 08, 2017

OMB APPROVAL FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer LAGOS WILLIAM H Symbol Southern National Bancorp of (Check all applicable) Virginia Inc [SONA] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) Director 10% Owner X _ Officer (give title Other (specify (Month/Day/Year) below) below) 12/31/2016 Senior Vice President 12605 THUNDER CHASE DR. (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) RESTON, VAÂ 20191 _X_ Form Filed by One Reporting Person Form Filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction Acquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial any (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned at end Indirect (I) Ownership of Issuer's (Instr. 4) (Instr. 4) (A) Fiscal Year or (Instr. 3 and 4) Amount (D) Price Southern National Bancorp of Â Â Â Â Â Â Â 33,157 D Virginia Common Stock Â Â Â Â Â Â Southern By 401k 2,795 Ι

Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			te	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 9.2	Â	Â	Â	Â	Â	01/23/2009	01/23/2018	Common Stock	3,500
Employee Stock Option (Right to Buy)	\$ 5.5	Â	Â	Â	Â	Â	01/27/2010	01/27/2019	Common Stock	2,000
Employee Stock Option (Right to Buy)	\$ 8.02	Â	Â	Â	Â	Â	07/29/2010	07/29/2019	Common Stock	2,000
Employee Stock Option (Right to Buy)	\$ 7.04	Â	Â	Â	Â	Â	07/27/2011	07/27/2020	Common Stock	2,500
Employee Stock Option (Right to Buy)	\$ 7.2	Â	Â	Â	Â	Â	03/21/2012	03/21/2021	Common Stock	4,000

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Employee Stock Option (Right to Buy)	\$ 7.92	Â	Â	Â	Â	Â	12/05/2013	12/05/2022	Common Stock	4,000 (1)
Employee Stock Option (Right to Buy)	\$ 9.14	Â	Â	Â	Â	Â	06/21/2014	06/21/2023	Common Stock	4,000 (2)
Employee Stock Option (Right to Buy)	\$ 10.47	Â	Â	Â	Â	Â	07/22/2015	07/22/2024	Common Stock	5,000 (3)
Employee Stock Option (Right to Buy)	\$ 11.43	Â	Â	Â	Â	Â	06/19/2016	06/19/2025	Common Stock	5,500 (4)
Employee Stock Option (Right to Buy)	\$ 11.99	Â	Â	Â	Â	Â	06/16/2017	06/16/2026	Common Stock	6,000 (<u>5)</u>

Reporting Owners

Reporting Owner Name / Address	Relationships							
•	Director	10% Owner	Officer	Other				
LAGOS WILLIAM H 12605 THUNDER CHASE DR. RESTON, VA 20191	Â	Â	Senior Vice President	Â				

Signatures

William H.

Lagos

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options are exercisable as follows: 800 on 12/5/2013, 800 on 12/5/2014, 800 on 12/5/2015, 800 on 12/5/2016, 800 on 12/5/2017.
- (2) These options are exercisable as follows: 800 on 6/21/2014, 800 on 6/21/2015, 800 on 6/21/2016, 800 on 6/21/2017, 800 on 6/21/2018.

(3)

Reporting Owners 3

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These options are exercisable as follows: 1000 on 7/22/2015, 1000 on 7/22/2016, 1000 on 7/22/2017, 1000 on 7/22/2018, 1000 on 7/22/2019.

- These options are exercisable as follows: 1100 on 6/19/2016, 1100 on 6/19/2017, 1100 on 6/19/2018, 1100 on 6/9/2019, 1100 on 6/19/2020
- (5) These options are exercisable as follows: 1200 on 6/16/2017, 1200 on 6/16/2018, 1200 on 6/16/2019, 1200 on 6/16/2020, 1200 on 6/16/2021.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.